

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



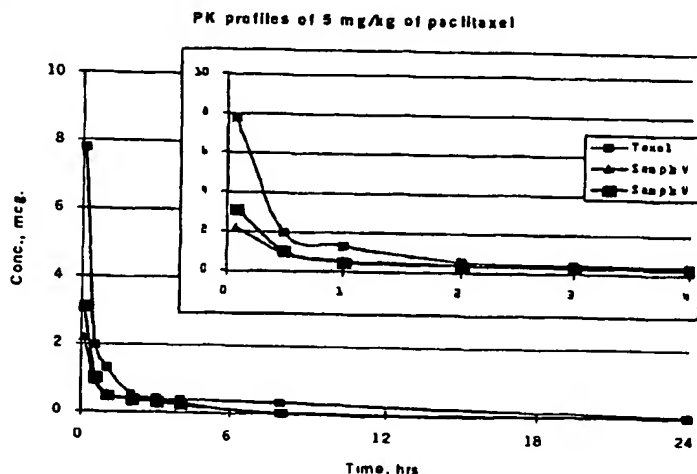
(43) International Publication Date
6 June 2002 (06.06.2002)

PCT

(10) International Publication Number
WO 02/43765 A2

- (51) International Patent Classification⁷: A61K 47/00, 47/10, 47/14, 47/18, 31/335
- (21) International Application Number: PCT/US01/43306
- (22) International Filing Date:
20 November 2001 (20.11.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/253,640 28 November 2000 (28.11.2000) US
60/272,117 28 February 2001 (28.02.2001) US
- (58) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (58) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (for all designated States except US): TRANSFORM PHARMACEUTICALS, INC. [US/US]; 610 Lincoln Street, Waltham, MA 02451 (US).
- Published:
— without international search report and to be republished upon receipt of that report
- (72) Inventor; and
- (73) Inventor/Applicant (for US only): CHEN, Hongming [CN/US]; 8 Sawmill Road, Acton, MA 01720 (US).
- (74) Agents: CORUZZI, Laura, A. et al.; Pennie & Edmonds LLP, 1155 Avenue of the Americas, New York, NY 10036 (US).
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: PHARMACEUTICAL FORMULATIONS COMPRISING PACLITAXEL, DERIVATIVES, AND PHARMACEUTICALLY ACCEPTABLE SALTS THEREOF



(57) Abstract: The invention concerns paclitaxel solubilizers and formulations thereof with a high propensity to dissolve paclitaxel. The formulations of the invention reduce or obviate the need for the disadvantageous excipient Cremophor® EL. The formulations of the invention are useful for administering paclitaxel, its derivatives, or pharmaceutically acceptable salts or such derivatives to patients in need thereof. The formulations of the invention are suitable for parenteral, oral, local, or transdermal administration to mammals including humans, particularly for intravenous delivery.

WO 02/43765 A2

PHARMACEUTICAL FORMULATIONS COMPRISING PACLITAXEL,
DERIVATIVES, AND PHARMACEUTICALLY ACCEPTABLE SALTS THEREOF

This application claims the benefit of U.S. Provisional Application No. 60/253,640 filed November 28, 2000, and U.S. Provisional Application No. 60/272,117 filed February 28, 2001, which are incorporated by reference herein in their entirety.

1. Field of the Invention

The present invention is directed to excipients or combinations thereof suitable for preparing a formulation containing a pharmaceutical agent. More particularly, the invention is directed to stable and efficacious pharmaceutical formulations comprising paclitaxel, derivatives, and pharmaceutically acceptable salts thereof.

2. Background of the Invention

Pharmaceuticals are rarely distributed as pure compounds because of problems with, among others, stability, solubility, and bioavailability of the pharmaceutical itself (*i.e.*, the active), and in most cases, are administered in a pharmaceutical formulation comprising the active, and other components, such as excipients, binders, diluents, and other delivery vehicles or systems. It is well documented that physical and chemical properties, such as stability, solubility, dissolution, permeability, and partitioning of most pharmaceuticals are directly related to the medium in which they are administered. And, in turn, the physical and chemical properties of drug-in-formulation mixtures affect the pharmacological and pharmacokinetic properties, such as absorption, bioavailability, metabolic profile, toxicity, and potency. Such effects are caused by interactions between the formulation's components and the pharmaceutical and/or interactions between the components themselves. Other properties influenced by the formulation in which a pharmaceutical is administered include mechanical properties, such as compressibility, compactability, and flow characteristics and sensory properties, such as taste, smell, and color. Thus, discovery of pharmaceutical formulations that optimize bioavailability and duration of action of the pharmaceutical and minimize undesirable properties is an important part of pharmaceutical development and research. For a general review of the subject of formulations see Howard, *Introduction to Pharmaceutical Dosage Forms*, Lea & Febiger, Philadelphia PA, 4th ed., 1985; *Remington: the Science and Practice of Pharmacy*, Alfonso R. Gennaro ed., Mack Publishing Co. Easton, PA, 19th ed., 1995, Chapter 83.

Formulation development is normally a tedious process, where many variables must be separately assessed. For example, if the formulation contains a pharmaceutical characterized by poor solubility, the solubility of the pharmaceutical in a range of salt concentrations; pHs; excipients; and pharmaceutical concentrations must be prepared and

tested to find interactions between the pharmaceutical and excipients or interactions between excipients that affect the pharmaceutical's solubility. While some general rules exist, the effect of excipients and combinations of excipients on the physical and chemical properties of the pharmaceutical are not easily predicted. Moreover, there are over 3,000 excipients to choose from when designing pharmaceutical formulations, each having differing degrees and types of interactions with each other and with the pharmaceutical. (For a listing of generally regarded as safe (GRAS) excipients see the Code of Federal Regulations (CFR) at 21 CFR 182 and 21 CFR 184). Because of the many variables involved, industry does not have the time or resources to identify, measure, or exploit interactions between excipients and pharmaceuticals and thus cannot provide optimized pharmaceutical formulations tailored to the particular pharmaceutical. Such work would require testing hundreds to thousands of formulations a day. Assuming three hundred substances are to be tested for efficacy as excipients in a pharmaceutical formulation, even with no variations in concentrations and no physical or chemical property variations, the number of possible combinations is enormous: when two of the substances are selected, there are 45,150 possible combinations, for three components there are 4,545,100 combinations, and for four components, there are 344,291,325 possible combinations. The complexity is increased when the relative ratio of each component is considered. Unfortunately, technologies that can make many pharmaceutical-excipient combinations at the same time, then automatically feed each combination into a system for identifying the combinations that have optimized properties are not known. Today, since it is more cost effective, most pharmaceuticals are distributed and administered in the standard, un-optimized formulations, *see e.g., Allen's Compounded Formulations: U.S. Pharmacists Collection 1995 to 1998*, ed. Lloyd Allen.

Paclitaxel is presently available in the United States only as a non-aqueous sub-optimal formulation concentrate for intravenous injection. An intravenous dosage regimen of 135 mg/m² paclitaxel is recommended for previously untreated patients with carcinoma of the ovary, given every three weeks. Similar dosage regimens are recommend for other carcinomas. Paclitaxel is practically insoluble in water. The commercially-available paclitaxel formulation (Bristol-Myers Squibb) comprises 6 mg/ml of paclitaxel dissolved in Cremophor® EL (PEG-35 castor oil, polyoxyethylated castor oil) and dehydrated ethanol (50% v/v). Similar formulations are sold by other manufacturers, for example, IVAX Co. Before intravenous injection, the commercial dose must be diluted to a final concentration of 0.3 to 1.2 mg/ml prior to injection. Recommended diluents are 0.9% aqueous sodium chloride, 5% aqueous dextrose, or 0.9% sodium chloride 5% dextrose aqueous solution, or 5% dextrose in Ringer's injection (The Physician's Desk Reference, 54th edition, 881-887, Medical Economics Company (2000); Goldspiel 1994 *Ann. Pharmacotherapy* 28:S23-26, both of which are incorporated herein by reference).

In general, the amount of Cremophor® EL necessary to deliver the required doses of paclitaxel is significantly higher than that administered with other drugs currently formulated in Cremophor® EL. This is a particular problem since several toxic effects have been attributed to Cremophor® EL, including vasodilation, dyspnea, and hypotension. This vehicle has also been shown to cause serious hypersensitivity in laboratory animals and humans (Weiss *et al.*, 1990, *J. Clin. Oncol.* 8:1263-1268). In fact, the maximum dose of paclitaxel that can be administered to mice by i.v. bolus injection is dictated by the acute lethal toxicity of the Cremophor® EL vehicle (Eiseman *et al.*, 1994, *Cancer Chemother. Pharmacol.* 34:465-471).

In addition, Cremophor® EL is known to leach phthalate plasticizers such as di(2-ethylhexyl)phthalate (DEHP) from the polyvinylchloride bags and intravenous administration tubing. DEHP is known to cause hepatotoxicity in animals and is carcinogenic in rodents. Upon dilution with infusion solutions, paclitaxel Cremophor® EL formulations can result in particulate formation. In addition, fibrous precipitates of unknown composition can form in the concentrate during storage for extended periods of time. It is generally believed that the precipitates are degradation by-products of either components in the solvent or paclitaxel. In such case, filtration of the diluted Cremophor® EL/ethanol/paclitaxel formulation is necessary during administration (Goldspiel 1994 *Ann. Pharmacotherapy* 28:S23-26).

It has further been reported, in United States Patent No. 5,504,102, that commercial grade Cremophor® EL with ethanol as a co-solvent, although effective in dissolving paclitaxel, produces injection formulations that exhibit instability over extended periods of time. In particular, pharmaceutical formulations of paclitaxel in a co-solvent of 50:50 by volume of dehydrated ethyl alcohol and commercial grade Cremophor® EL exhibit a loss of potency of greater than 60% after storage for 12 weeks at 50 °C. The loss of potency is attributed to the degradation of paclitaxel during storage. Other disadvantages of Cremophor® EL have been reported.

Some efforts have focused on limiting or eliminating Cremophor® EL by preparing paclitaxel derivatives having improved aqueous solubility over paclitaxel. Research in this area includes preparation of 2'-succinate- and amino-acid-ester prodrugs of paclitaxel (*see e.g.*, Deutsch *et al.*, 1989, *J. Med. Chem.*, 32:788-792; Matthew *et al.*, 1992, *J. Med. Chem.* 35:145-151). In other efforts, Greenwald *et al.* reported the synthesis of highly water-soluble 2' and 7-polyethylene glycol esters of paclitaxel (Greenwald *et al.*, 1994, *Bioorganic & Medicinal Chemistry Letters* 4:2465-2470), however, no data concerning the *in-vivo* antitumor activity of these compounds were reported (Greenwald *et al.*, 1995, *J. Org. Chem.* 60:331-336). Others attempts to solve paclitaxel's aqueous-solubility problems have involved microencapsulation of paclitaxel in both liposomes and nanospheres (Bartoni *et al.*, 1990, *J. Microencapsulation* 7:191-197). The liposome formulation was reported to

be as effective as free paclitaxel, however, only liposome formulations containing less than 2% paclitaxel were physically stable (Sharma *et al.*, 1994, *Pharm. Res.* 11:889-896). There is a need, therefore, for formulations comprising paclitaxel, derivatives, and pharmaceutically acceptable salts thereof that can deliver therapeutically effective amounts of paclitaxel and derivatives thereof that overcome the disadvantages caused by paclitaxel's insolubility and the disadvantages of Cremophor® EL.

3. Summary of the Invention

In a preferred embodiment, the invention concerns paclitaxel solubilizers and formulations thereof with a high propensity to promote dissolution of paclitaxel or that stabilize aqueous paclitaxel solutions. The formulations of the invention are useful for administering paclitaxel, its derivatives, or pharmaceutically acceptable salts of such derivatives to patients in need thereof. The formulations of the invention are suitable for parenteral, oral, local, or transdermal administration to mammals including humans, particularly for intravenous delivery.

More generally, the paclitaxel solubilizers of the invention or mixtures thereof can replace the disadvantageous excipient Cremophor® EL as a solubilizing excipient in existing pharmaceutical formulations comprising hydrophobic pharmaceuticals or the need to use Cremophor® EL with such pharmaceuticals. Thus, the formulations of the invention are suitable to administer any drug for which Cremophor® EL is now used or would today be the first choice as an aqueous solubilization excipient. The formulations of the invention are particularly suitable to administer paclitaxel and derivatives thereof.

In one embodiment, the invention concerns a pharmaceutical formulation for administration to a mammal comprising:

- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
- (b) one or more of a PEG-glyceryl fatty ester, a quaternary ammonium salt or a PEG-fatty alcohol.

In this embodiment, preferably, the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrимide; the PEG-glyceryl fatty ester is PEG-glyceryl monooleate or PEG-glyceryl monolaurate; and the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.

In another embodiment, the invention concerns a pharmaceutical formulation for administration to a mammal comprising:

- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
- (b) two or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glyceryl fatty ester, a polysorbate, or a PEG-fatty alcohol.

Preferably, the PEG-vitamin E is tocophersolan; the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrимide; the PEG-monoacid fatty ester is PEG-20 monooleate, PEG-20 monolaurate, PEG-20 monostearate; the PEG-glyceryl fatty ester is PEG-20 glyceryl monooleate, PEG-20 glyceryl monostearate, or PEG-20 glyceryl monolaurate; the polysorbate is polysorbate 20 or polysorbate 80; and the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth. A preferred octoxynol is octoxynol-9 and preferred
5 laureth is laureth-23.

In a separate embodiment, the formulations of the invention do not contain a quaternary ammonium salt.

In one preferred aspect of this embodiment, the paclitaxel solubilizers are a PEG-vitamin E and one or more of a PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, a
10 polysorbate, or a PEG-fatty alcohol.

In another preferred aspect of this embodiment, the paclitaxel solubilizers are a quaternary ammonium salt and one or both of a polysorbate or a PEG-fatty alcohol.

In still another preferred aspect of this embodiment, the paclitaxel solubilizers are a PEG-monoacid fatty ester and one or more of a PEG-vitamin E, the PEG-glyceryl fatty
15 ester, a polysorbate, or a PEG-fatty alcohol.

In another embodiment, the paclitaxel solubilizers are a PEG-glyceryl fatty ester and one or more of the PEG-vitamin E, the PEG-monoacid fatty ester, or the polysorbate.

In yet another preferred aspect of this embodiment, the paclitaxel solubilizers are a polysorbate and one or more of a quaternary ammonium salt, a PEG-monoacid fatty ester, a
20 PEG-glyceryl fatty ester, or a PEG-fatty alcohol.

In another preferred aspect of this embodiment, the paclitaxel solubilizers are a PEG-fatty alcohol and one or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, or a polysorbate.

In another embodiment, the invention concerns a pharmaceutical formulation for
25 administration to a mammal comprising:

- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
- (b) PEG-400 and one or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glyceryl fatty ester, a polysorbate, or a
30 PEG-fatty alcohol.

In a separate embodiment, the invention relates to a method of treating cancer or other conditions treatable by paclitaxel in a mammal comprising administering to said mammal a therapeutically effective amount of a formulation of the invention.

In still another embodiment, the formulations of the invention can be independent of
35 paclitaxel, a derivative, or a salt thereof. Such formulations are referred to herein as paclitaxel free formulations of the invention and can be used to solubilize and administer any pharmaceutical. Paclitaxel free formulations of the invention are particularly useful as

a replacement for cremophor and similar excipients in pharmaceutical formulations currently comprising them.

In another embodiment, the invention relates to a pharmaceutical formulation suitable for administration to a human consisting essentially of:

- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof;
- 5 (b) one or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glycerol fatty ester, a polysorbate, or a PEG-fatty alcohol; and
- (c) ethanol,

wherein said formulation is free of cremophor and is suitable for dissolution or reconstitution with an aqueous medium into a particulate-free solution suitable for parenteral administration.

10 In yet another embodiment, the invention relates to a pharmaceutical formulation suitable for administration to a human consisting essentially of:

- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof;
- (b) two or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glycerol fatty ester, a polysorbate, or a PEG-fatty alcohol; and
- 15 (c) ethanol,

wherein said formulation is free of cremophor and is suitable for dissolution or reconstitution with an aqueous medium into a particulate-free solution suitable for parenteral administration.

20 In another embodiment, the invention relates to arrays and methods for high-throughput preparation of a large number of excipient/active combinations (*e.g.*, thousands to hundreds of thousands), at varying concentrations, at the same time, and high-throughput testing thereof. An example of such a process is described in the Examples section herein. Such methods allow detection or measurement of interactions between formulation components (*e.g.*, excipients) and actives; between multiple formulation components; or
25 between multiple actives. Once such interactions or lack of interactions are identified, the active can be "retrofitted" into an optimal formulation for pharmaceutical administration.

The invention thus encompasses the high-throughput testing of formulations comprising paclitaxel, a derivative, or a salt thereof in order to determine the overall optimal formulations, or to optimize any particular desired property or results, *e.g.*,
30 bioavailability, potency, release, stability, and the like; or both. To applicant's knowledge, a systematic, high-throughput method for formulation generation, screening, testing, and analysis, has not been published prior to this invention.

In this regard, another embodiment of the invention concerns an array of samples, each sample comprising paclitaxel, a derivative, or a pharmaceutically acceptable salt
35 thereof and at least one formulation component, wherein each sample differs from any other sample with respect to at least one of:

- (i) the identity of the formulation component, or
- (ii) the ratio of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof to the formulation component.

In still another embodiment, the invention relates to a method to find a pharmaceutical formulation suitable to administer paclitaxel to mammal, comprising:

- 5 (a) preparing an array of samples, each sample comprising paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof and a formulation component, wherein each sample differs from any other sample with respect to at least one of:
 - (i) the identity of the formulation component,
 - 10 (ii) the ratio of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof to the formulation component; and
- (b) testing each sample for a property.

In still another embodiment, the invention relates to a particulate-free pharmaceutical formulation suitable for parenteral administration to a mammal comprising
15 about 0.2 mg/ml to about 3.0 mg/ml of paclitaxel in a non-cremophor aqueous-based solution, where per mg of the paclitaxel in the formulation the amount of water is about 4.5 ml to about 0.3 ml.

3.1 Brief Description of the Drawings

20 **FIGURE 1** is a pK profile comparison of 1) commercially available TAXOL® (Bristol-Myers Squibb Company), 2) Formulation V, and 3) Formulation W, in each case, upon administration of a 5 mg/kg bolus dose in male Sprague-Dawley rats.

25 **FIGURE 2** is a pK profile comparison of 1) commercially available TAXOL®, 2) Formulation V, and 3) Formulation W, in each case, upon administration of a 10 mg/kg bolus dose in male Sprague-Dawley rats.

30 **FIGURE 3** is a pK profile comparison of 1) Formulation V upon administration of a 5 mg/kg bolus dose, and 2) Formulation V upon administration of a 10 mg/kg bolus dose, in male Sprague-Dawley rats.

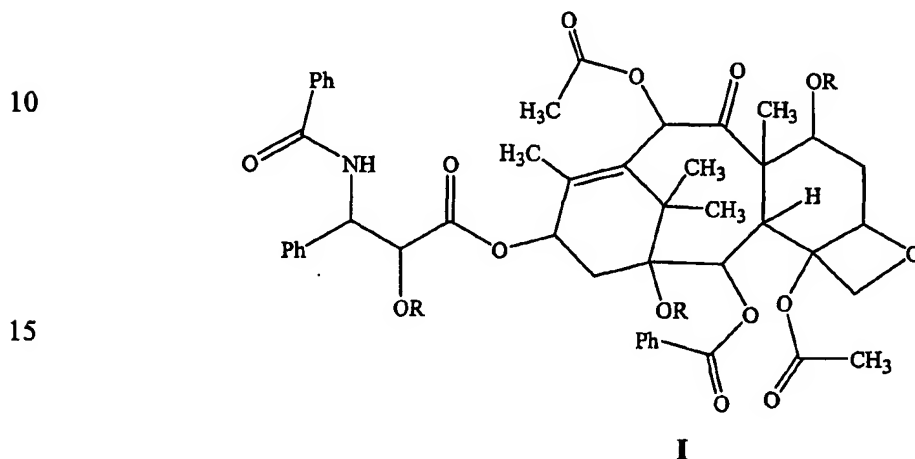
FIGURE 4 is a pK profile comparison of 1) Formulation W upon administration of a 5 mg/kg bolus dose, and 2) Formulation W upon administration of a 10 mg/kg bolus dose, in male Sprague-Dawley

35

3.2 Definitions

The term "mammal" as used herein, encompasses any mammal. Preferably a mammal is in need of a formulation of the invention. Examples of mammals include, but are not limited to, cows, horses, sheep, pigs, cats, dogs, mice, rats, rabbits, guinea pigs, monkeys, *etc.*, more preferably, a human.

5 As referred to herein, derivatives and analogs of paclitaxel include, but are not limited to, docetaxel and compounds having the general formula I below and stereoisomers and pharmaceutically acceptable salts thereof:



20 wherein, each occurrence of R is independently H, (C₁-C₆)alkyl, aryl, C(O)(C₁-C₆)alkyl, or C(O)aryl. Preferably, (C₁-C₆)alkyl is methyl and aryl is phenyl. Such derivatives are well known in the art. For example, paclitaxel derivatives encompassed by formula I are disclosed in U.S. Patent Nos. 5,399,726; 5,654,447; 6,066,747; 5,338,872; 6,107,332; 5,703,117; 5,714,512; 5,580,899; 6,118,011; 5,470,866; 5,319,112; and 6,136,961.

25 As used herein, "paclitaxel solubilizer" means one or a mixture of substances that has a high propensity to solubilize paclitaxel in an aqueous medium. Preferably, a paclitaxel solubilizer, when included in an aqueous paclitaxel medium, can dissolve the paclitaxel at room temperature to a concentration of at least about 1.2 mg/ml water. A "paclitaxel solubilizer of the invention" refers to PEG-Vitamin Es, quaternary ammonium salts, PEG-monoacid fatty esters, polysorbates, and PEG-fatty alcohols or combinations thereof. It is to be understood that a "paclitaxel solubilizer" can be used to solubilize, distribute, and administer drugs generally, for example, the paclitaxel solubilizers of the invention can be used to solubilize, distribute, and administer, but not limited to, other cancer and cancer-related pharmaceuticals, such as cisplatin, carboplatin, epirubicin, leuprolide, bicalutamide, goserelin implant, irinotecan, gemcitabine, and sargramostim; 35 cardiovascular drugs; such as amlodipine besylate, enalapril maleate, losartan potassium lisinopril, irbesartan, nifedipine, diltiazem, clopidogrel, digoxin, abciximab, furosemide,

amiodarone, beraprost, and tocopheryl; anti-infective agents, such as amoxicillin, clavulanate, ciprofloxacin, azithromycin, itraconazole, acyclovir fluconazole, terbinafine, erythromycin, and sulfisoxazole acetyl; psychotherapeutic agents, such as fluoxetine, paroxetine, sertaline, vanlafaxine, bupropion, olanzapine, alprazolam, methylphenidate, fluvoxamine, and ergoloid; gastrointestinal medicaments, such as omeprazole, lansoprazole, ranitidine, famotidine, ondansetron, granisetron, sulfasalazine, and infliximab; respiratory
5 therapies, such as loratadine, fexofenadine, cetirizine, fluticasone, salmeterol xinafoate, and budesonide; cholesterol reducers, such as simvastatin, atorvastatin calcium, pravastatin, lovastatin, bezafibrate, ciprofibrate, and gemfibrozil; blood modifiers, such as epoetin alpha, enoxaparin, and antihemophilic factor; antiarthritic agents, such as celecoxib, diclofenac sodium, nabumetone, misoprostol, and rofecoxib; AIDS and AIDS-related drugs,
10 such as lamivudine, zidovudine, indinavir, stavudine, and lamivudine; diabetes and diabetes-related therapies, such as metformin, troglitazone, and acarbose; biologicals, such as hepatitis vaccines; Hormones, such as estradiol; immunosuppressive agents, such as cyclosporine, mycophenolate mofetil, and methylprednisolone; analgesics, such as tramadol, fentanyl, metamizole, ketoprofen, morphine, lysine acetylsalicylate, ketoralac
15 tromethamine, morphine, loxoprofen sodium, and ibuprofen; dermatological products, such as isotretinoin and clindamycin; anesthetics, such as propofol, midazolam, and lidocaine; migraine therapies, such as sumatriptan succinate, zolmitriptan, and rizatriptan; sedatives and hypnotics, such as, zolpidem, triazolam, and hycosine butylbromide; multiple sclerosis agents, such as interferon beta-1a, interferon beta-1a, and glatiramer ; osteoporosis agents,
20 such as vitamin k₂; cystic fibrosis agents, such as dornase alpha and tobramycin; Alzheimer's disease therapies, such as dolasetron and donepezil; and imaging agents, such as iohexol, technetium Tc99m sestamibi, iomeprol, gadodiamide, ioversol, and iopromide; or pharmaceutically acceptable salts thereof.

25 The term "active" refers to a pharmaceutical, more specifically to paclitaxel, derivatives, and pharmaceutically acceptable salts thereof.

The term "cremophor" means PEG-35 castor oil (commercially available from BASF, Washington, NJ, under the trade name Cremophor® EL).

As used herein, the phrase "formulations of the invention" refers to a specific
30 composition or combination of ingredients (*i.e.*, one or more paclitaxel solubilizer(s) and any other excipients, diluents, or carriers) useful for administering, delivering, or distributing paclitaxel, a derivative, or salt thereof. A "formulations of the invention" may or may not include an active. Preferably, the formulations of the invention are suitable for reconstitution or dissolution in an aqueous medium to a particulate-free, injectable solution.
35 It is also preferable that formulations of the invention are sterile. A formulation of the invention can be in the form of a solid, liquid, semisolid, gel, suspension, emulsion, or a

solution. A solution includes both aqueous and organic solvent solutions and liquid concentrates thereof.

As used herein, a "liquid concentrate" means a solution of active and one or more paclitaxel solubilizers of the invention, preferably in an organic solvent, such as ethanol, that is to be diluted with an aqueous medium prior to administration. A liquid concentrate can include various amounts of water but is preferably substantially anhydrous.

Solids include any solid form, such as a powder, a compressed pharmaceutical dosage form, or a lyophilized solid. In one embodiment, formulations of the invention if solid or other than liquid, are suitable for reconstitution into an injectable, particulate-free, preferably sterile formulation, such as an aqueous medium.

An "aqueous medium" will comprise at least water. Preferably, an aqueous medium is sterile and suitable for use as a carrier of an active for administration to a mammal. Examples of preferred aqueous mediums include, but are not limited to, water; saline solution; Ringer's solution; and solutions of water-miscible substance, such as dextrose and other electrolytes. Other aqueous mediums suitable for parenteral administration of actives are listed in *Remington: the Science and Practice of Pharmacy*, Alfonso R. Gennaro ed., Mack Publishing Co. Easton, PA, 19th ed., 1995, Chapter 87; incorporated herein by reference. Preferably, the aqueous medium does not affect the ability of the paclitaxel solubilizer of the invention to solubilize paclitaxel, derivatives, or salts thereof.

The phrase "pharmaceutically acceptable salt(s)", as used herein, means those salts of paclitaxel derivatives that retain the biological effectiveness and properties of the free acids or free bases and that are not otherwise unacceptable for pharmaceutical use. Pharmaceutically acceptable salts of paclitaxel derivatives include salts of acidic or basic groups which may be present in the paclitaxel derivatives. Derivatives of paclitaxel that are basic in nature are capable of forming a wide variety of salts with various inorganic and organic acids. The acids that may be used to prepare pharmaceutically acceptable acid addition salts of such basic compounds are those that form non-toxic acid addition salts, *i.e.*, salts containing pharmacologically acceptable anions, such as chloride, bromide, iodide, nitrate, sulfate, bisulfate, phosphate, acid phosphate, isonicotinate, acetate, lactate, salicylate, citrate, acid citrate, tartrate, pantothenate, bitartrate, ascorbate, succinate, maleate, gentisinate, fumarate, gluconate, glucaronate, saccharate, formate, benzoate, glutamate, methanesulfonate, ethanesulfonate, benzenesulfonate, *p*-toluenesulfonate and pamoate (*i.e.*, 1,1'-methylene-bis-(2-hydroxy-3-naphthoate)) salts. Derivatives of paclitaxel that include an amino moiety can also form pharmaceutically acceptable salts with various amino acids, in addition to the acids mentioned above. Derivatives of paclitaxel that are acidic in nature are capable of forming a wide variety of salts with various inorganic and organic bases. Suitable base salts are formed from bases that donate cations to form non-toxic salts, suitable cations include, but are not limited to, sodium, aluminum, calcium,

lithium, magnesium, potassium, zinc and diethanolamine salts. For a review on pharmaceutically acceptable salts see Berge *et al.*, *J. Pharm. Sci.*, 66, 1-19 (1977), incorporated herein by reference.

As used herein, the term "excipient" means the substances used to formulate actives into pharmaceutical formulations; in a preferred embodiment, an excipient does not lower or interfere with the primary therapeutic effect of the active. Preferably, an excipient is therapeutically inert. The term "excipient" encompasses carriers, diluents, vehicles, solubilizers, stabilizers, and binders. Excipients can also be those substances present in a pharmaceutical formulation as an indirect result of the manufacturing process. Preferably, excipients are approved for or considered to be safe for human and animal administration, *i.e.*, GRAS substances (generally regarded as safe). GRAS substances are listed by the Food and Drug administration in the Code of Federal Regulations (CFR) at 21 CFR 182 and 21 CFR 184, incorporated herein by reference.

As used herein, the phrase "array" means a plurality of samples associated under a common experiment, wherein each of the samples comprises at least paclitaxel, a derivative, or salt thereof (*i.e.*, an active) and a formulation component. The array is designed to provide a data set, analysis of which allows detection or measurement of interactions (including lack of interactions) between the active and the formulation component. Samples in the array differ from other samples in the array with respect to at least one of:

- (i) the identity of the formulation component, or
- (ii) the ratio of the active to the formulation component.

According to the invention, the ratio of the active to the formulation component will differ between samples when such ratio is intentionally varied to induce a measurable change in the sample's properties.

As used herein, the term "property" means a physical or chemical characteristic of a sample. Preferred properties are those that relate to the efficacy, safety, stability, or utility of formulations before or after administration. Properties include physical properties, for example, but not limited to, rheology, friability, stability, solubility, dissolution, and permeability, preferably, solubility. The term "property" also includes mechanical properties, for example, but not limited to, compressibility, compactability, and flow characteristics.

An array can comprise 24, 36, 48, 96, or more samples, preferably 1000 or more samples, more preferably, 10,000 or more samples. An array is typically comprises one or more sub-arrays. For example, a sub-array can be a 96-well plate of sample wells.

As used herein, the term "sample" means a mixture of paclitaxel, a derivative, or salt thereof (*i.e.*, an active) and one or more formulation components. The term "sample" encompasses duplicates, triplicates, *etc.* of the same sample used as controls in an array. In

other words, multiples of the same sample in an array, for control purposes, are considered one sample for the purposes of the invention. Preferably a sample comprises 2 or more formulation components, more preferably, 3 or more formulation components. A sample can be present in any container or holder or in or on any material or surface, the only requirement is that the samples be located at separate sites. Preferably, samples are contained in sample wells, for example, a 24, 36, 48, or 96 well plates (or filter plates) of
5 volume 250 ul available from Millipore, Bedford, MA.

As used herein, the phrase "formulation component" means any substance in addition to the active in a sample. Preferably, a formulation component is therapeutically inactive. Examples of suitable formulation components include, but are not limited to, excipients, solvents, diluents, stabilizers, and combinations thereof.
10

As used herein, the term "alkyl group" means a saturated, monovalent, unbranched or branched hydrocarbon chain. Examples of alkyl groups include, but are not limited to, (C₁-C₂₅)alkyl groups, such as methyl, ethyl, propyl, isopropyl, 2-methyl-1-propyl, 2-methyl-2-propyl, 2-methyl-1-butyl, 3-methyl-1-butyl, 2-methyl-3-butyl, 2,2-dimethyl-1-propyl, 2-methyl-1-pentyl, 3-methyl-1-pentyl, 4-methyl-1-pentyl, 2-methyl-2-pentyl, 3-methyl-2-pentyl, 4-methyl-2-pentyl, 2,2-dimethyl-1-butyl, 3,3-dimethyl-1-butyl, 2-ethyl-1-butyl, butyl, isobutyl, *t*-butyl, pentyl, isopentyl, neopentyl, hexyl, and longer alkyl groups, such as heptyl, and octyl, nonyl, decyl, undecyl, dodecyl, tridecyl, tetradecyl, pentadecyl, hexadecyl, heptadecyl, octadecyl, nonadecyl, icosanyl, heniconsanyl, docosanyl, tricosanyl, tetracosanyl, and pentacosanyl. An alkyl group can be unsubstituted or substituted with one
15
20 or more suitable substituents.

An "alkenyl group" means a monovalent, unbranched or branched hydrocarbon chain having one or more double bonds therein. The double bond of an alkenyl group can be unconjugated or conjugated to another unsaturated group. Suitable alkenyl groups include, but are not limited to (C₂-C₂₅)alkenyl groups, such as vinyl, allyl, butenyl, pentenyl, hexenyl, butadienyl, pentadienyl, hexadienyl, 2-ethylhexenyl, 2-propyl-2-butenyl, 4-(2-methyl-3-butene)-pentenyl, nonenyl, decenyl, undecenyl, dodecenyl, tridecenyl, tetradecenyl, pentadecenyl, hexadecenyl, heptadecenyl, octadecenyl, nonadecenyl, icosanenyl, heniconsanenyl, docosanenyl, tricosanenyl, tetracosanenyl, and pentacosanenyl. An alkenyl group can be unsubstituted or substituted with one or more suitable substituents.
25
30

An "alkynyl group" means monovalent, unbranched or branched hydrocarbon chain having one or more triple bonds therein. The triple bond of an alkynyl group can be unconjugated or conjugated to another unsaturated group. Suitable alkynyl groups include, but are not limited to, (C₂-C₂₅)alkynyl groups, such as ethynyl, propynyl, butynyl, pentynyl, hexynyl, methylpropynyl, 4-methyl-1-butynyl, 4-propyl-2-pentynyl, 4-butyl-2-hexynyl, nonynyl, decynyl, undecynyl, dodecynyl, tridecynyl, tetradecynyl, pentadecynyl, hexadecynyl, heptadecynyl, octadecynyl, nonadecynyl, icosanynyl, heniconsanynyl,
35

docosanylnyl, tricosanylnyl, tetracosanylnyl, and pentacosanylnyl. An alkynyl group can be unsubstituted or substituted with one or more suitable substituents.

5 An "aryl group" means a monocyclic or polycyclic-aromatic ring comprising carbon and hydrogen atoms. Examples of suitable aryl groups include, but are not limited to, phenyl, tolyl, anthacenylnyl, fluorenylnyl, indenyl, azulenylnyl, and naphthyl, as well as benzo-fused carbocyclic moieties such as 5,6,7,8-tetrahydronaphthyl. An aryl group can be
5 unsubstituted or substituted with one or more suitable substituents. Preferably, the aryl group is a monocyclic ring, wherein the ring comprises 6 carbon atoms, referred to herein as "(C₆)aryl".

10 A "cycloalkyl group" means a monocyclic or polycyclic saturated ring comprising carbon and hydrogen atoms and having no carbon-carbon multiple bonds. Examples of cycloalkyl groups include, but are not limited to, (C₃-C₇)cycloalkyl groups, such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, and cycloheptyl, and saturated cyclic and bicyclic terpenes. A cycloalkyl group can be unsubstituted or substituted by one or more
10 suitable substituents. Preferably, the cycloalkyl group is a monocyclic ring or bicyclic ring.

15 The term "alkoxy group" means an -O-alkyl group, wherein alkyl is as defined above. An alkoxy group can be unsubstituted or substituted with one or more suitable substituents. Preferably, the alkyl chain of an alkoxy group is from 1 to 25 carbon atoms in length, referred to herein as "(C₁-C₂₅)alkoxy".

20 The term "aryloxy group" means an -O-aryl group, wherein aryl is as defined above. An aryloxy group can be unsubstituted or substituted with one or more suitable substituents. Preferably, the aryl ring of an aryloxy group is a monocyclic ring, wherein the ring comprises 6 carbon atoms, referred to herein as "(C₆)aryloxy".

The term "benzyl" means -CH₂-phenyl.

25 The term "phenyl" means -C₆H₅. A phenyl group can be unsubstituted or substituted with one or more suitable substituents.

A "carbonyl" group is a divalent group of the formula -C(O)-.

An "alkoxycarbonyl" group means a monovalent group of the formula -C(O)-alkoxy. Preferably, the hydrocarbon chain of an alkoxycarbonyl group is from 1 to 25 carbon atoms in length.

30 As used herein, "halogen" means fluorine, chlorine, bromine, or iodine. Correspondingly, the meaning of the terms "halo" and "Hal" encompass fluoro, chloro, bromo, and iodo.

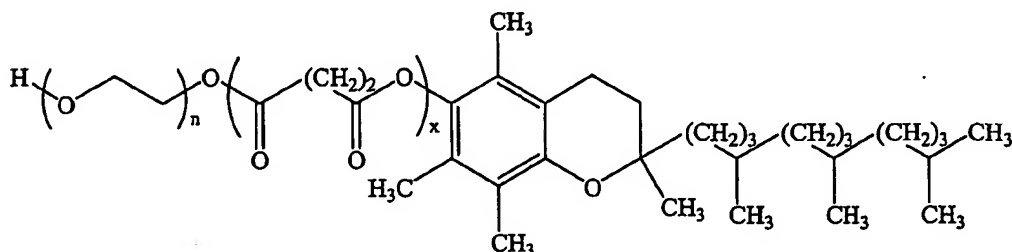
As used herein, a "suitable substituent" means a group that does not nullify the synthetic or pharmaceutical utility of the active or the paclitaxel solubilizer of the invention.
35 Examples of suitable substituents include, but are not limited to: (C₁-C₈)alkyl; (C₁-C₈)alkenyl; (C₁-C₈)alkynyl; (C₆)aryl; (C₂-C₅)heteroaryl; (C₃-C₇)cycloalkyl; (C₁-C₈)alkoxy; (C₆)aryloxy; CN; OH; oxo; halo, CO₂H; NH₂; NH((C₁-C₈)alkyl);

$N((C_1-C_8)\text{alkyl})_2$; $NH((C_6)\text{aryl})$; $N((C_6)\text{aryl})_2$; CHO ; $CO((C_1-C_8)\text{alkyl})$; $CO((C_6)\text{aryl})$; $CO_2((C_1-C_8)\text{alkyl})$; and $CO_2((C_6)\text{aryl})$. One of skill in art can readily choose a suitable substituent based on the stability and pharmacological and synthetic activity of the paclitaxel solubilizer of the invention.

As used herein, a "PEG-vitamin E" means a compound of the formula:

5

10

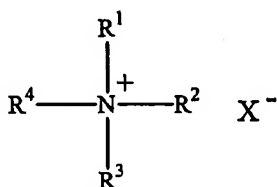


wherein the variable x is 0 or 1 and the variable n is about 1 to about 20,000, preferably, from about 3 to about 1000. Preferably, the PEG-vitamin E is α -tocopheryl polyethylene glycol 1000 succinate, referred to herein as tocophersolan (sold by Eastman Chemical Co. under the trade name vitamin E TPGS NF). In tocophersolan, x is 1 and n has an average value of 22. Other preferred PEG-vitamin Es, include tocophereth-5, tocophereth-10, tocophereth-12, tocophereth-18, and tocophereth-50. In such tocophereths, x is 0 and the average ethoxylation value is 5, 10, 12, 18, and 50 respectively. PEG-vitamin Es are available commercially, for example, from Eastman Chemical Co., Kingsport, TN and Pacific Corporation, Seoul, Korea.

20

As used herein, a quaternary ammonium salt means a compound of the general formula:

25



wherein R^1 , R^2 , R^3 , R^4 are independently selected from the group consisting of $(C_1-C_{25})\text{alkyl}$, aryl , $(C_1-C_{25})\text{alkylaryl}$, $(C_2-C_{25})\text{alkenyl}$, $(C_2-C_{25})\text{alkynyl}$, $(C_2-C_{25})\text{alkenylaryl}$, $(C_2-C_{25})\text{alkynylaryl}$, phenyl , and benzyl .

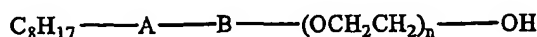
30

The group X is a suitable anion, for example, but not limited to, halide, acetate, benzenesulfonate, benzoate, bicarbonate, bitartrate, calcium edetate, camsylate, carbonate, citrate, edetate, edisylate, estolate, esylate, fumarate, gluceptate, gluconate, glutamate, glycolylarsanilate, hexylresorcinate, hydrabamine, hydrobromide, hydroxynaphthoate, isethionate, lactate, lactobionate, malate, maleate, mandelate, mesylate, methylbromide,

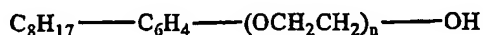
35

methylnitrate, methylsulfate, muscate, napsylate, nitrate, pamoate (embonate), panthothenate, phosphate/diphosphate, polygalacturonate, salicylate, stearate, subacetate, succinate, sulfate, tannate, tartrate, teoclate, and triethiodide. Preferred quaternary ammonium salts include, but are not limited to, benzethonium chloride, benzalkonium chloride, and cetrimide.

- 5 As used herein, the term "polyethylene glycol of a fatty alcohol (PEG-fatty alcohol)" means a compound of the formula:

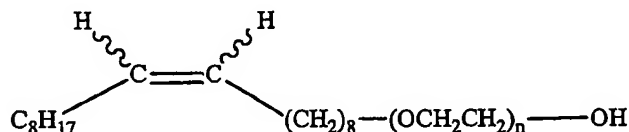


- 10 wherein n has an average value of about 1 to about 75; the variable "A" represents the optional presence of one or more carbon-carbon double bonds and the variable "B" represents $(\text{C}_1\text{--C}_{15})$ alkyl. Preferred PEG-fatty alcohols are octoxynols, oleths, and laureths. As used herein, an "octoxynol" is a compound of the formula:



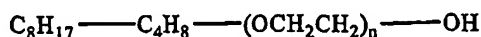
- 15 wherein n is an integer having an average value of about 1 to about 75, preferably, n has an average value of 1, 3, 5, 7, 8, 9, 10, 11, 12, 13, 16, 20, 25, 30, 33, 40, or 70, more preferably, n has an average value of about 7 to 12, more preferably about 9. Preferred octoxynols include octoxynol-9 (Triton® X-100). Octoxynols are commercially available, for example, from Rhône-Poulenc, Shelton, CT under the trade name TRITON.

- 20 As used herein, an "oleth" is a compound of the formula:



- 25 wherein n is an integer having an average value of about 1 to about 55, preferably, n has an average value of 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 15, 16, 20, 25, 40, 44, or 50, more preferably, n has an average value of about 7 to 12, more preferably about 9. Oleths are commercially available, for example, from ICI Surfactants, Wilmington, DE under the trade name BRIJ or from Heterene, Inc., Paterson, NJ under the trade name HETOXOL.

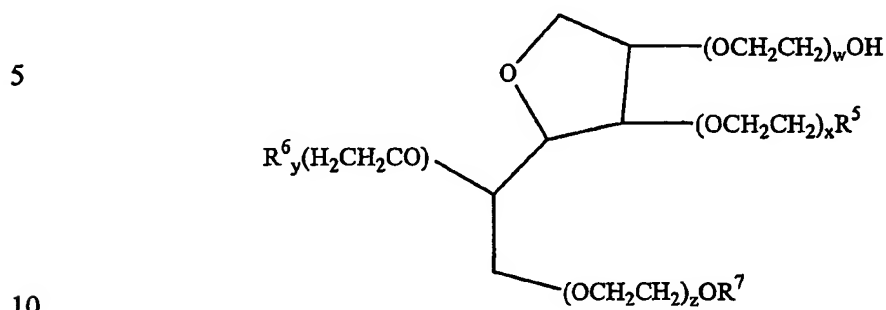
- 30 As used herein, a "laureth" is a compound of the formula:



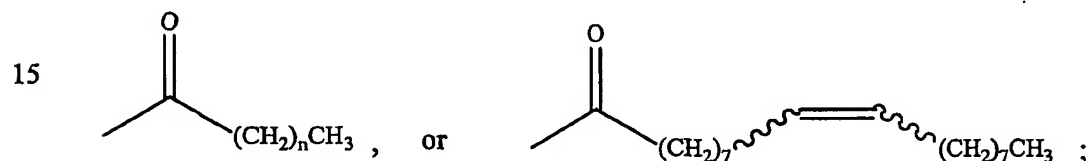
- wherein n is an integer having an average value of about 1 to about 55, preferably, n has an average value of 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 15, 16, 20, 23, 25, 30, or 40 more preferably, n has an average value of about 7 to 12, more preferably about 23 (*i.e.*, laureth-23 also known as BRIJ 35, ICI Surfactants). Laureths are commercially available, for

example, from ICI Surfactants, Wilmington, DE under the trade name BRIJ or from Rhône-Poulenc, Shelton, CT under the trade name RHODASURF.

As used herein, the term "polysorbate" means a compound of the general formula:

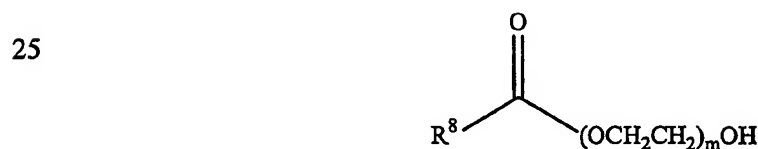


wherein the sum of W+X+Y+Z is an integer having an average value of about 5, 4, or 20; R⁵, R⁶, and R⁷ are independently H,



and n is an integer ranging from 8 to 20. Preferred polysorbates are polysorbate 20, 21, 40, 60, 61, 65, 80, 81, 85, more preferably polysorbate 20 or polysorbate 80. Polysorbates are available commercially under the trade name TWEEN from Rhône-Poulenc, Shelton, CT.

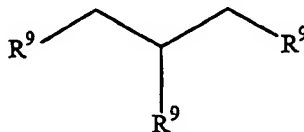
As used herein, the term "polyethyleneglycol monoacid fatty ester" (PEG-monoacid fatty ester) means a compound of the general formula:



where R⁸ is (C₁-C₂₅)alkyl, (C₂-C₂₅)alkenyl, or (C₂-C₂₅)alkynyl, in substantially pure form. As used herein, "substantially pure form" means that at least about 85% of polyethyleneglycol monoacid fatty ester is a single polyethyleneglycol monoacid fatty ester, preferably about 95%. The variable m is an integer having an average value of from 2 to 200, preferably, 6 to 150, more preferably, 10 to 50. Preferably, R⁸ is (C₈-C₂₀) or (C₈-C₂₀)alkenyl. Preferably, R⁸(CO)O is laurate, oleate, or stearate. Preferred PEG-monoacid fatty esters include, but are not limited to, PEG-20 monolaurate, PEG-20 monooleate, and PEG-20 monostearate.

As used herein, the term "polyethyleneglycol-glyceryl fatty ester" (PEG-glyceryl fatty ester) means a compound of the general formula:

where R^9 is independently OH, $OCOR^8$, $(OCH_2CH_2)_mOH$, or $(OCH_2CH_2)_mOCOR^8$, wherein:



5

(a) at least one of R^9 is $OCOR^8$ and one of R^9 is $(OCH_2CH_2)_mOH$; or

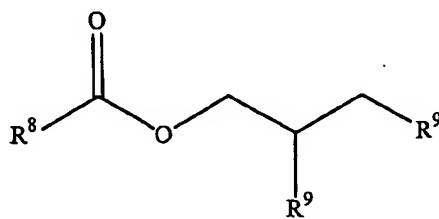
(b) at least one of R^9 is $(OCH_2CH_2)_mOCOR^8$.

R^8 is (C_1-C_{25}) alkyl, (C_2-C_{25}) alkenyl, or (C_2-C_{25}) alkynyl. And The variable m is an integer having an average value of from 2 to 200, preferably, 6 to 150, more preferably, 10 to 50.

10

Preferably, R^8 is (C_8-C_{20}) or (C_8-C_{20}) alkenyl. Preferably $R^8(CO)O$ is laurate, oleate, or stearate.

A subclass of PEG-glyceryl fatty esters has the formula:



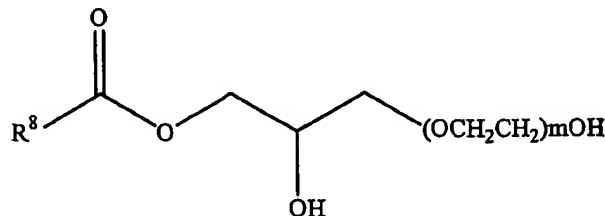
15

20

where R^8 is (C_1-C_{25}) alkyl, (C_2-C_{25}) alkenyl, or (C_2-C_{25}) alkynyl. And R^9 is independently OH, $OCOR^8$, $(OCH_2CH_2)_mOH$, or $(OCH_2CH_2)_mOCOR^8$, wherein at least one of R^9 is $(OCH_2CH_2)_mOH$ or $(OCH_2CH_2)_mOCOR^8$. The variable m is an integer having an average value of from 2 to 200, preferably, 6 to 150, more preferably, 10 to 50. Preferably, R^8 is (C_8-C_{20}) or (C_8-C_{20}) alkenyl. Preferably $R^8(CO)O$ is laurate, oleate, or stearate.

25

A further subclass of PEG-glyceryl fatty esters has the formula:



30

Wherein R^8 is defined as above. Preferred PEG-glyceryl fatty esters include, but are not limited to, PEG-20 glyceryl monooleate, PEG-20 glyceryl monostearate, and PEG-20 glyceryl monolaurate.

35

4. Detailed Description of the Invention

The invention encompasses cremophor-free formulations comprising paclitaxel, derivatives, or pharmaceutically acceptable salts thereof and one or more paclitaxel solubilizers that are useful for use in mammals, particularly humans. Paclitaxel solubilizers of the invention include compounds falling within the classes of PEG-Vitamin Es; quaternary ammonium salts; PEG-monoacid fatty esters; PEG-glyceryl fatty esters; polysorbates; PEG-fatty alcohols. These formulations are advantageous in that they do not contain cremophor and thus avoid or reduce the toxicities and other disadvantages of cremophor formulations. The formulations of the invention also solubilize paclitaxel in aqueous medium and thus are particularly advantageous because paclitaxel is practically insoluble in water.

The formulations of the invention are useful for treating mammalian cancers and other medical conditions treatable by paclitaxel. By "treating" it is meant that the formulations are administered to inhibit or reduce the rate of cancer-cell proliferation in an effort to induce partial or total remission, for example, inhibiting cell division by promoting microtubule formation. For instance, the formulations of the invention are useful for treating solid tumors and blood-born tumors. Examples of cancers treatable or preventable by formulations of the invention include, but are not limited to, cancers of the lymph node; breast; cervix; uterus; gastrointestinal tract; lung; ovary; prostate; mouth; brain; head; neck; throat; testes; kidney; pancreas; bone; spleen; liver; bladder; skin; larynx; nasal passages; AIDS-related cancers, and cancers of the blood. The formulations can be used alone or in combination with other chemotherapeutics. The mode, dosage, and schedule of administration of paclitaxel, derivatives, and pharmaceutically acceptable salts thereof in human cancer patients have been extensively studied, *see, e.g., 1989 Ann. Int. Med., 111:273-279*, incorporated herein by reference.

The invention encompasses single-unit dosage forms and multi-unit dosage forms of paclitaxel, derivatives, and pharmaceutically acceptable salts thereof in solid, liquid, semisolid, gel, suspension, emulsion, or solution form. In one embodiment, the invention relates to single-unit dosage and multi-unit dosage forms. In another embodiment, the invention relates to single-unit dosage and multi-unit dosage forms of liquid-concentrates, solids, semi-solids, and gels in concentrated form for further formulation (*e.g., lyophilized solids and liquid concentrates for reconstitution prior to parenteral administration*). Formulations of the invention in liquid-concentrate form are, preferably, in an organic solvent, such as ethanol or aqueous ethanol, that is to be diluted with an aqueous medium prior to administration. Preferably, the formulations are easy to handle, stable for storage and shipment, and inexpensive to manufacture compared to previous paclitaxel formulations. Preferably, the formulations of the invention are sterile.

The formulations of the invention can be prepared by combining the actives, solubilizers of the invention, and other components using well-known pharmaceutical-formulation methods. Formulation of liquid dosage forms, such as for intravenous administration is described in *Remington: the Science and Practice of Pharmacy*, Alfonso R. Gennaro ed., Mack Publishing Co. Easton, PA, 19th ed., 1995, Chapters 87 and 88; incorporated herein by reference. A comprehensive discussion on formulating solid forms, such as powders, tablets, pills, and capsules is presented in *Remington: the Science and Practice of Pharmacy*, Alfonso R. Gennaro ed., Mack Publishing Co. Easton, PA, 19th ed., 1995, Chapters 91 and 92, incorporated herein by reference. A comprehensive discussion on formulating solutions, emulsions, and suspensions is presented in *Remington: the Science and Practice of Pharmacy*, Alfonso R. Gennaro ed., Mack Publishing Co. Easton, PA, 19th ed., 1995, Chapter 86, incorporated herein by reference. Formulations of the invention in the form of gels and semisolids containing the active can be prepared according to well known methods. For instance, by mixing the active with the paclitaxel solubilizers of the invention, and any additional components or excipients in a standard V-blender. Preferably, for reconstitution, solids (e.g., lyophilized solids), liquid concentrates, semisolids, gels, suspensions, and emulsions, contain about 25 milligrams to about 2500 milligrams of active, more preferably, about 50 milligrams to about 500 milligrams.

The paclitaxel solubilizers of the invention are used in formulations of the invention in amounts that enhance the solubility of paclitaxel, derivatives, and pharmaceutically acceptable salts thereof in an aqueous medium. Armed with the present disclosure that specifies specific paclitaxel solubilizers and combinations thereof that enhance the aqueous solubility of paclitaxel, derivatives, and pharmaceutically acceptable salts thereof, one of skill in the art can readily determine suitable paclitaxel-solubilizer amounts by simple solubility experiments. For example, by mixing the paclitaxel solubilizer and the active, contacting the resulting mixture with an aqueous medium, filtering, and measuring the amount of dissolved active, for example, by spectrophotometry.

In one embodiment, the amount(s) of paclitaxel solubilizer in formulations of the invention can be expressed as a ratio relative to the amount of active. This is particularly useful when formulating a formulation of the invention in other than liquid form, e.g., solid, semisolid, gel, suspension, or emulsion, for example, a lyophilized solid. Preferred ratios of paclitaxel solubilizers to paclitaxel, derivatives, and pharmaceutically acceptable salts thereof are as follows:

Excipient Class	Preferred ratio of paclitaxel solubilizer expressed as unit weight per unit weight of paclitaxel, derivative, or salt thereof	More preferred ratio of paclitaxel solubilizer expressed as unit weight per unit weight of paclitaxel, derivative, or salt thereof
PEG-Vitamin Es	about 5 to about 200	about 10 to about 100
Quaternary ammonium salts	about 0.01 to about 1	about 0.016 to about 0.3
PEG-monoacid fatty esters	about 5 to about 200	about 10 to about 100

PEG-glyceryl fatty esters	about 5 to about 200	about 10 to about 100
Polysorbates	about 5 to about 200	about 10 to about 100
PEG-fatty alcohols	about 5 to about 200	about 10 to about 100

In another embodiment, the formulations of the invention comprise an aqueous medium. Preferably, such a formulation is suitable for parenteral administration. In this embodiment, the preferred concentration of paclitaxel, a derivative, or a salt thereof is from about 0.2 mg/ml to about 3 mg/ml, more preferably, about 0.3 mg/ml to about 1.2 mg/ml. Preferred concentrations of paclitaxel solubilizers for use with aqueous paclitaxel solutions of the invention are as follows:

Excipient Class	Preferred concentrations of paclitaxel solubilizers in an aqueous medium (mg/ml)	More preferred concentrations of paclitaxel solubilizers in an aqueous medium (mg/ml)
PEG-Vitamin Es	about 3 to about 120	about 15 to about 100
Quaternary ammonium salts	about 0.0048 to about 0.35	about 0.001 to about 0.2
PEG-monoacid fatty esters	about 3 to about 120	about 15 to about 100
PEG-glyceryl fatty esters	about 3 to about 120	about 15 to about 100
Polysorbates	about 3 to about 120	about 15 to about 80
PEG-fatty alcohols	about 3 to about 120	about 15 to about 110

In another embodiment, the formulations of the invention are in liquid-concentrate form. Liquid concentrate meaning that the formulations are to be diluted prior to parenteral administration, preferably, diluted with an aqueous medium. Preferably, liquid concentrates of the invention have less than about 5% by weight water, more preferably, less than about 1%, even more preferably, liquid concentrates are substantially anhydrous. Preferably, the concentration of paclitaxel in liquid concentrates of the invention is from about 3 mg/ml to about 10 mg/ml, more preferably, about 6 mg/ml. Paclitaxel solubilizers of the invention can comprise about 0.1% to about 99% by weight of liquid-concentrate formulations of the invention. Preferably, liquid concentrates of the invention comprise about 20% to about 99% total solubilizer weight, *e.g.*, about 20% to about 99% total weight of one or more of a PEG-vitamin E, a PEG-monoacid fatty ester, a PEG-glyceryl fatty ester, a polysorbate, or a PEG-fatty alcohol. Thus, preferably, in a liquid-concentrate formulations, when one paclitaxel solubilizer of the invention is used in combination with one or more other paclitaxel solubilizers of the invention, the total paclitaxel-solubilizer weight percent is from about 20% to about 99%. Preferably, when combinations of paclitaxel solubilizers are used in liquid-concentrate formulations, each individual paclitaxel solubilizer (excluding the class of quaternary ammonium salts) represents at least about 5% by weight of the total paclitaxel-solubilizer weight. When a quaternary ammonium salt is included in a liquid-concentrate formulation of the invention, the preferred concentration is about 0.005 mg/ml to about 5 mg/ml.

A few preferred liquid concentrate formulations of the invention (*i.e.*, formulations 1-13) are shown below.

Formulation 1

Component	Weight % range	Preferred weight % range
Paclitaxel	0.1% to 1%	0.1% to 1%
*PEG-400	0.1% to 99%	2.5% to 92.5%
*Polysorbate 80	0.1% to 99%	2.5% to 92.5%
Ethanol	0% to 49%	5% to 59%
Benzethonium chloride	0% to 0.2%	0% to 0.2%
Citric acid	0.01% to 1%	0.01% to 1%

* The combination of PEG-400 and Polysorbate 80 comprises at least about 40% by weight of the formulation.

Formulation 2

Component	Weight % range	Preferred weight % range
Paclitaxel	0.1% to 1%	0.1% to 1%
*PEG-20 glyceryl monooleate	0.1% to 99%	2% to 93%
Ethanol	0% to 59%	5% to 69%
*Polysorbate 80	0.1% to 99%	2% to 93%
Benzethonium chloride	0% to 0.2%	0% to 0.2%
Citric acid	0.01% to 1%	0.01% to 1%

* The combination of PEG-20 glyceryl monooleate and Polysorbate 80 comprises at least about 30% by weight of the formulation.

Formulation 3

	Component	Weight % range	Preferred weight % range
5	Paclitaxel	0.1% to 1%	0.1% to 1%
	*PEG-20 glyceryl monooleate	0.1% to 99%	1% to 94%
	Ethanol	0% to 79%	5% to 79%
	*Vitamin E TPGS	0.1% to 99%	1% to 94%
10	Benzethonium chloride	0% to 0.2%	0% to 0.2%
	Citric acid	0.01% to 1%	0.01% to 1%

* The combination of PEG-20 glyceryl monooleate and Vitamin E TPGS comprises at least about 20% by weight of the formulation.

Formulation 4

15

	Component	Weight % range	Preferred weight % range
20	Paclitaxel	0.1% to 1%	0.1% to 1%
	*PEG-20 glyceryl monooleate	0.1% to 99%	2% to 93%
	Ethanol	0% to 59%	5% to 69%
	*PEG-20 monolaurate	0.1% to 99%	2% to 93%
25	Benzethonium chloride	0% to 0.2%	0% to 0.2%
	Citric acid	0.01% to 1%	0.01% to 1%

* The combination of PEG-20 glyceryl monooleate and PEG-20 monolaurate comprises at least about 30% by weight of the formulation.

30

35

Formulation 5

	Component	Weight % range	Preferred weight % range
5	Paclitaxel	0.1% to 1%	0.1% to 1%
	*PEG-20 glyceryl monooleate	0.1% to 99%	2% to 93%
	Ethanol	0% to 59%	5% to 69%
	*Polysorbate 20	0.1% to 99%	2% to 93%
10	Benzethonium chloride	0% to 0.2%	0% to 0.2%
	Citric acid	0.01% to 1%	0.01% to 1%

* The combination of PEG-20 glyceryl monooleate and Polysorbate 20 comprises at least about 30% by weight of the formulation.

Formulation 6

15

	Component	Weight % range	Preferred weight % range
20	Paclitaxel	0.1% to 1%	0.1% to 1%
	*PEG-20 glyceryl monooleate	0.1% to 99%	2% to 93%
	Ethanol	0% to 59%	5% to 69%
	*PEG-20 monooleate	0.1% to 99%	2% to 93%
25	Benzethonium chloride	0% to 0.2%	0% to 0.2%
	Citric acid	0.01% to 1%	0.01% to 1%

* The combination of PEG-20 glyceryl monooleate and PEG-20 monooleate comprises at least about 30% by weight of the formulation.

30

35

Formulation 7

Component	Weight % range	Preferred weight % range
Paclitaxel	0.1% to 1%	0.1% to 1%
Ethanol	0% to 79%	5% to 79%
Vitamin E TPGS	20% to 99%	20% to 94%
Benzethonium chloride	0% to 0.2%	0% to 0.2%
Citric acid	0.01% to 1%	0.01% to 1%

Formulation 8

Component	Weight % range	Preferred weight % range
Paclitaxel	0.1% to 1%	0.1% to 1%
Ethanol	0% to 80%	5% to 80%
*Vitamin E TPGS	0.1% to 99%	1% to 94%
*PEG-400	0.1% to 99%	1% to 94%
Benzethonium chloride	0% to 0.2%	0% to 0.2%
Citric acid	0.01% to 1%	0.01% to 1%

* The combination of Vitamin E TPGS and PEG-400 comprises at least about 20% by weight of the formulation.

Formulation 9

	Component	Weight % range	Preferred weight % range
5	Paclitaxel	0.1% to 1%	0.1% to 1%
	Ethanol	0% to 80%	5% to 80%
	*Vitamin E TPGS	0.1% to 99%	1% to 94%
	*PEG-20 monooleate	0.1% to 99%	1% to 94%
	Benzethonium chloride	0% to 0.2%	0% to 0.2%
10	Citric acid	0.01% to 1%	0.01% to 1%

* The combination of Vitamin E TPGS and PEG-20 monooleate comprises at least about 20% by weight of the formulation.

Formulation 10

15	Component	Weight % range	Preferred weight % range
	Paclitaxel	0.1% to 1%	0.1% to 1%
	Ethanol	0% to 80%	5% to 80%
20	*Vitamin E TPGS	0.1% to 99%	1% to 94%
	*Polysorbate 80	0.1% to 99%	1% to 94%
	Benzethonium chloride	0% to 0.2%	0% to 0.2%
25	Citric acid	0.01% to 1%	0.01% to 1%

* The combination of Vitamin E TPGS and Polysorbate 80 comprises at least about 20% by weight of the formulation.

30

35

Formulation 11

Component	Weight % range	Preferred weight % range
Paclitaxel	0.1% to 1%	0.1% to 1%
Ethanol	0% to 80%	5% to 80%
*Vitamin E TPGS	0.1% to 99%	1% to 94%
*Polysorbate 20	0.1% to 99%	1% to 94%
Benzethonium chloride	0% to 0.2%	0% to 0.2%
Citric acid	0.01% to 1%	0.01% to 1%

* The combination of Vitamin E TPGS and Polysorbate 20 comprises at least about 20% by weight of the formulation.

Formulation 12

Component	Weight % range	Preferred weight % range
Paclitaxel	0.1% to 1%	0.1% to 1%
Ethanol	0% to 80%	5% to 80%
*Vitamin E TPGS	0.1% to 99%	1% to 94%
*PEG-20 monolaurate	0.1% to 99%	1% to 94%
Benzethonium chloride	0% to 0.2%	0% to 0.2%
Citric acid	0.01% to 1%	0.01% to 1%

* The combination of Vitamin E TPGS and PEG-20 monolaurate comprises at least about 20% by weight of the formulation.

Formulation 13

	Component	Weight % range	Preferred weight % range
5	Paclitaxel	0.1% to 1%	0.1% to 1%
	Ethanol	0% to 70%	5% to 70%
	*Polysorbate 80	0.1% to 99%	1% to 94%
	*PEG-20 monooleate	0.1% to 99%	1% to 94%
	Benzethonium chloride	0% to 0.2%	0% to 0.2%
10	Citric acid	0.01% to 1%	0.01% to 1%

* The combination of Polysorbate 80 and PEG-20 monooleate comprises at least about 30% by weight of the formulation.

The formulations of the invention can include additional pharmaceutically acceptable excipients. Preferred additional excipients do not affect the ability of the paclitaxel solubilizers of the invention to solubilize paclitaxel. Preferred additional excipients for intravenous administration are water, aqueous vehicles such as saline, Ringer's solution, or dextrose solution. Other examples of suitable excipients, such as binders and fillers are listed in *Remington: the Science and Practice of Pharmacy*, 18th Edition, ed. Alfonso Gennaro, Mack Publishing Co. Easton, PA, 1995 and *Handbook of Pharmaceutical Excipients*, 3rd Edition, ed. Arthur H. Kibbe, American Pharmaceutical Association, Washington D.C. 2000. Whatever excipient is incorporated into the present formulations, preferably, that excipient is sterile when added, or sterilized during the same process that sterilizes the formulation.

Administration of formulations of the invention can be systemic or local. In most instances, administration to a mammal will result in systemic release of the active (*i.e.*, into the bloodstream). Methods of administration include enteral routes, such as oral administration; topical routes, such as local, transdermal and intradermal administration; and parenteral routes, such as intravenous injection. Preferably, the formulations of the invention are administered intravenously.

Typically, formulation of the invention for parenteral administration are solutions in sterile isotonic aqueous vehicles, such as water, saline, Ringer's solution, or dextrose solution. Formulations for intravenous administration may optionally include a local anesthetic such as lignocaine to ease pain at the site of the injection. For parenteral administration, the formulations of the invention can be supplied as a sterile, dry lyophilized powder or a water-free liquid concentrate in a hermetically sealed container, such as an ampoule or an i.v. bag, the container indicating the quantity of active. Such a powder or

concentrate is then diluted with an appropriate aqueous medium prior to administration. An ampoule of sterile water, saline solution, or other appropriate aqueous medium can be provided with the powder or concentrate in a separate container to dilute the active prior to administration. Alternatively, the formulations can be supplied in pre-mixed form, ready for administration.

5 A further embodiment of the present invention includes a sterilization step. The sterilization may be carried out in several ways, *e.g.*, by using a bacteriological filter, by incorporating sterilizing agents into the composition, by irradiation, or by heating. Sterilization may be effected, for example, by filtration through a 0.2 μm pore size filter. Other methods of sterilizing well known to those skilled in the art can also be employed.

10 To formulate aqueous parenteral dosage forms, an aqueous medium, *e.g.*, physiological saline or purified water, paclitaxel solubilizers, and any additional components are mixed in sanitized equipment, filtered, and packaged according to well known methods in the art (for a discussion see *e.g.*, *Remington: the Science and Practice of Pharmacy*, Alfonso R. Gennaro ed., Mack Publishing Co. Easton, PA, 19th ed., 1995, Chapter 87). For parenteral administration the dosage will, of course, vary with the potency
15 of the particular active based on potency, the patient weight, and the nature of the patient's condition. The preferred dosage for intravenous administration is that listed in The Physician's Desk Reference, 54th edition, 881-887, Medical Economics Company (2000). Dosages typically fall in the range of about 135 mg/m^2 to 400 mg/m^2 , preferably 175
20 mg/m^2 , more preferably, 200 mg/m^2 . An oncologist skilled in the art of cancer treatment will be able to ascertain appropriate protocols for effective administration of the formulations of the invention by referring to the earlier studies of paclitaxel, derivatives, and pharmaceutically acceptable salts thereof or the dosages listed in medical reference books.

25 Formulations of the invention for oral delivery are preferably in the form of capsules, tablets, pills, soft-gel capsules, emulsions, solutions, or suspensions. Oral compositions can include standard vehicles, excipients, and diluents. Orally administered formulations of the invention can optionally include one or more sweetening agents and one or more flavoring agents to provide a pharmaceutically palatable preparation. A
30 therapeutically effective oral dosage for formulations of the invention is determined by standard clinical techniques according to the judgment of a medical practitioner. For example, in addition to information provided in medical reference books and pharmaceutical literature, well-known *in vitro* or *in vivo* assays can be used to help identify optimal dosages.

35 To formulate and administer transdermal dosage forms, well known transdermal delivery devices such as patches can be used (Ghosh, T.K.; Pfister, W.R.; Yum, S.I. *Transdermal and Topical Drug Delivery Systems*, Interpharm Press, Inc. p. 249-297,

incorporated herein by reference). For example, a reservoir type patch design can comprise a backing film coated with an adhesive, and a reservoir compartment comprising a formulation of the invention, that is separated from the skin by a semipermeable membrane (e.g., U.S. Patent 4,615,699, incorporated herein by reference). The adhesive coated backing layer extends around the reservoir's boundaries to provide a concentric seal with the skin and hold the reservoir adjacent to the skin.

5

To formulate and administer local dosage forms, well known mediums such as lotions, creams, and ointments can be used.

The present formulations can include additional actives and thus can serve as base formulation for combination therapy. Such additional actives can be included and distributed in the formulation itself (e.g., pre-diluted or in a form for reconstitution) or added to the formulation prior to administration. For example, the formulations of the invention and other actives can be combined in an i.v. bag prior to administration. Additional actives can be any pharmaceutical conventionally administered with paclitaxel, for example, other chemotherapeutics, such as, but not limited to, cisplatin, carboplatin, tamoxifen, epirubicin, leuprolide, bicalutamide, goserelin implant, irinotecan, gemcitabine, and sargramostim or pharmaceutically acceptable salts thereof, preferably cisplatin. Thus, the formulations of the invention encompass formulations comprising active, the paclitaxel solubilizers of the invention, and other actives suitable for co-administration with paclitaxel.

10

15

The formulations of the invention can be packaged and distributed as a non-aqueous liquid-solution concentrates for dilution in an aqueous medium prior to administration. For example, the present formulations in liquid-concentrate form or in the form of a lyophilized solid can be added to an i.v. bag and diluted with an aqueous medium prior to intravenous infusion. Preferably, such concentrates comprise a non-aqueous solvent, the active, and one or more of the paclitaxel solubilizers of the invention. Preferably, the non-aqueous solvent is an organic solvent, such as ethanol. Thus, the formulations of the invention in liquid-concentrate form can be packaged as ethanol solutions, for example, packaged in a multi-unit-dose vial containing 30 mg paclitaxel, the paclitaxel solubilizers, and about 0.2 ml to about 3 ml ethanol or a multi-unit-dose vial containing 100 mg paclitaxel, the paclitaxel solubilizers, and about 0.6 ml to 10 ml of ethanol.

20

25

30

The formulations of the invention, can be distributed in containers that allow rapid dissolution of the formulation upon reconstitution with appropriate sterile diluents, *in situ*, giving an appropriate sterile solution of desired active concentration for administration. As used herein, the term "suitable containers" means a container capable of maintaining a sterile environment, such as a vial, package, or bottle, capable of delivering a vacuum dried product hermetically sealed by a stopper means. Additionally, suitable containers implies appropriateness of size, considering the volume of solution to be held upon reconstitution of

35

the vacuum dried composition; and appropriateness of container material, generally Type I glass. The stopper means employed, *e.g.*, sterile rubber closures or an equivalent, should be understood to be that which provides the aforementioned seal, but which also allows entry for the purpose of introduction of diluent, *e.g.*, sterile Water for Injection, USP, Normal Saline, USP, or 5% Dextrose in Water, USP, for the reconstitution of the desired active solution. These and other aspects of the suitability of containers for pharmaceutical products such as those of the invention are well known to those skilled in the practice of pharmaceutical arts.

The present invention will be further understood by reference to the following non-limiting examples. The following examples are provided for illustrative purposes only and are not to be construed as limiting the invention scope of the invention in any manner.

15

20

25

30

35

5. ExamplesExample 1: Preparation and Identification of Aqueous Paclitaxel Formulations with Enhanced Solubility.

A plurality of aqueous formulations, each containing paclitaxel and various combinations and concentrations of the excipients listed in Table 1 below were prepared and systematically analyzed for their ability to dissolve paclitaxel.

The GRAS ("generally regarded as safe") excipients listed in Table 1 are all obtainable from Sigma-Aldrich Fine Chemicals, BASF, or other commercial suppliers.

Table 1: Excipients Used in the Examples

10	1	γ -Cyclodextrin	21	Polyethyleneglycol-100 monostearate (PEG-100 monostearate)
	2	β -Cyclodextrin	22	Povidone
	3	Diethanolamine	23	Deoxycholate
	4	Propyleneglycol	24	Laureth-23
	5	Glycerin	25	Isosorbide dimethyl ether
	6	Poloxomer® 188	26	Polyethyleneglycol-20 monooleate (PEG-20 monooleate)
15	7	Poloxomer® 237	27	Polyethyleneglycol-20 monolaurate (PEG-20monolaurate)
	8	Poloxomer® 338	28	Polyethyleneglycol-tetrahydrofurfuryl ether (PEG-tetrahydrofurfuryl)
	9	Poloxomer® 407	29	octoxynol-9
	10	Polyethyleneglycol 300 (PEG-300)	30	2-pyrrolidone
20	11	Polyethyleneglycol 600 (PEG-600)	31	Benzethonium chloride
	12	Polyethyleneglycol 1000 (PEG-1000)	32	Benzalkonium chloride
	13	Polyethyleneglycol 4000 (PEG-4000)	33	cetrimide
	14	Polysorbate 20	34	Polyethyleneglycol-1,2-diacyl-sn-glycerol-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-2000] (PEG-DSPE)
25	15	Polysorbate 80	35	Polyvinyl alcohol
	16	Polyethyleneglycol-20 monostearate (PEG-20 monostearate)	36	tocophersolan
	17	Polyethyleneglycol-40 monostearate (PEG-40 monostearate)	37	Sodium lauryl sulfate (SDS)
30	18	Polyethyleneglycol-50 monostearate (PEG-50 monostearate)	38	Protamine sulfate
	19	Choline chloride	39	Laureth-23
		Polyethyleneglycol-20 glyceryl monooleate (PEG-20 glyceryl monooleate)	40	Polyethyleneglycol-20 glyceryl monolaurate (PEG-20 glyceryl monolaurate)
35	20	Sodium caprylate		

Preparation of formulations with improved solubilities

A stock solution comprising about 10 milligrams paclitaxel per milliliter ethanol was prepared. Then a plurality of excipients were selected from Table 1 and each selected excipient was prepared as an aqueous solution, at various concentrations, for example, as three physiological-saline stock solutions each at one of three set concentrations, e.g., about 120 milligrams/milliliter, about 60 milligrams/milliliter, and about 30 milligrams/milliliter. Then all permutations of formulations that each comprise about 12 μ l of the paclitaxel/ethanol solution (about 120 μ g of paclitaxel per sample well) and about 90 μ l of one to three of the excipient stock solutions were prepared.

All permutations of excipients stock solutions and paclitaxel were generated using the MATLAB program formulating software (commercially available from Mathworks, Natick, Massachusetts). The permutations so generated were downloaded into a Microsoft EXCEL spread sheet and from this spread sheet, a worklist was constructed according to standard programming methods well known to those skilled in the art. The work-list is then used to direct the Genesis liquid-handling device to prepare the various permutations of excipients and paclitaxel generated by MATLAB. The worklist combines the formulation output of the MATLAB program with Genesis-appropriate commands (as found in the Genesis operating manual) in a file format that is directly readable by the Genesis device. For example, the number of possible unique combinations with 120 excipient stock solutions is:

$$\frac{(120+3-1)!}{3! (120-1)!} = 295,240$$

giving a grand total of 295,240 unique formulations. The formulations were prepared in DYNEX 96 sample well polystyrene plates (available from Thermo Labsystems Oy, Helsinki, Finland). Each sample well has a volume of 250 μ l. Each sample formulation generated by the MATLAB program was prepared in triplicate by adding about 12 μ l of the paclitaxel/ethanol solution and about 30 μ l of three of the excipient stock solution to each sample well. The stock solutions were added using a Genesis liquid-handling device (Tecan-US, RTP, NC). The force provided by adding the excipient via the Genesis liquid-handling device was sufficient to adequately mix the components. The plates were sealed with aluminum sealing tapes and incubated at 25 $^{\circ}$ C for 48 hours before they were measured on a UV plate reader at 500 nm (SpectraMax Plus, Molecular Devices, Sunnyvale, CA).

Results

5 The screening results, measured as turbidity at 500 nm for all of the samples were imported into a data analysis and visualization program Spotfire (Spotfire, Cambridge, MA) and samples that reproducibly (% standard deviation <5%) demonstrated an absorbance below 0.045 were selected as hits. An absorbance below 0.045 indicates that the paclitaxel was completely dissolved, *i.e.*, the paclitaxel concentration was 1.2 mg/ml, and stayed dissolved for 48 hours. The selected formulations along with concentrations of the paclitaxel solubilizers are listed in Table 2 below. Each of these examples can readily be used to formulate paclitaxel into a suitable injectable dosage form.

10 The chemical stability of the paclitaxel formulations in Table 2 was analyzed using a Waters Alliance 2790 HPLC equipped with a Waters 996 Photo Diode Array Detector. The separation was carried out on a Phenomenex Curosil Pentafluorophenyl column (150 × 3.2mm, 3 m) with a mobile phase of 55% water and 45% acetonitrile, held isocratic at a flow rate of 0.5 ml/min. A control solution of paclitaxel (0.1 mg/ml) for comparison was prepared from a stock solution of 1 mg/ml in ethanol by sub-dilution into acetonitrile. Each of the formulations of paclitaxel were diluted in acetonitrile to a concentration of
15 approximately 0.1 mg/ml before HPLC analysis and absorbance was measured at 229 nm. The chromatograph for each formulation was compared with the control solution. No degradation of Paclitaxel was observed for the selected formulations, even after 48 hours incubation at RT in physiological saline, establishing chemical stability of the formulations of the invention. Using this general procedure with the 40 excipients listed in Table 1, the
20 formulations listed in Table 2 were selected as stable and capable of dissolving paclitaxel in an aqueous medium to a concentration of 1.2 mg/ml.

25

30

35

TABLE 2: Selected Formulations

Abs	Excipient	Conc. mg/ml	Excipient	Conc. mg/ml	Excipient	Conc. mg/ml
0.039	polysorbate 80	18	octoxynol-9	18	benzalkonium chloride	18
0.039	polysorbate 80	18	octoxynol-9	18	cetrimide	18
0.039	polysorbate 80	36	octoxynol-9	36	PEG-20 monolaurate	36
0.039	polysorbate 80	36	octoxynol-9	36	PEG-20 monooleate	36
0.040	polysorbate 80	36	octoxynol-9	72		
0.039	polysorbate 20	36	octoxynol-9	36	PEG-20 monolaurate	36
0.039	polysorbate 20	36	octoxynol-9	72		
0.039	polysorbate 20	18	Sodium caprylate	18	cetrimide	18
0.040	polysorbate 80	72	PEG-20 monooleate	36		
0.040	polysorbate 80	36	PEG-20 monooleate	72		
0.040	polysorbate 20	36	PEG-20 monooleate	72		
0.040	polysorbate 80	72	PEG-20 monolaurate	36		
0.040	polysorbate 80	36	PEG-20 monolaurate	72		
0.040	polysorbate 20	36	PEG-20 monolaurate	72		
0.039	polysorbate 80	18	benzethonium chloride	18	PEG 1000	18
0.039	polysorbate 20	36	2-pyrrolidone	36	octoxynol-9	36
0.039	octoxynol-9	36	benzalkonium chloride	18		
0.039	octoxynol-9	36	PEG-20 monolaurate	36	PEG-20 monooleate	36
0.038	octoxynol-9	72	PEG-20 monolaurate	36		
0.040	octoxynol-9	36	PEG-20 monolaurate	72		
0.040	octoxynol-9	72	PEG-20 monooleate	36		
0.038	octoxynol-9	108				
0.040	Isosorbide dimethyl ether	36	octoxynol-9	36	PEG-20 monolaurate	36
0.039	Isosorbide dimethyl ether	36	octoxynol-9	72		
0.039	benzethonium chloride	36	octoxynol-9	18		
0.039	PEG-tetrahydrofurfuryl ether	36	octoxynol-9	36	PEG-20 monolaurate	36
0.039	benzethonium chloride	18	octoxynol-9	36		
0.039	Sodium caprylate	18	octoxynol-9	18	benzalkonium chloride	18
0.038	benzethonium chloride	18	octoxynol-9	18	cetrimide	18
0.038	SDS	36	octoxynol-9	18		
0.039	Povidone	18	SDS	18	β -cyclodextrin	4.5
0.038	Sodium caprylate	18	SDS	36		
0.039	benzethonium chloride	36	propylene glycol	18		
0.038	SDS	36	propylene glycol	18		
0.039	benzethonium chloride	36	cetrimide	18		
0.040	benzethonium chloride	36	benzalkonium chloride	18		
0.038	cetrimide	36	benzalkonium chloride	18		
0.040	PEG-20 monooleate	108				
0.039	benzethonium chloride	54				
0.038	SDS	54				
0.040	tocophersolan	60	protamine sulfate	3.06		
0.040	tocophersolan	48	protamine sulfate	1.836		
0.040	tocophersolan	60	PEG-20 monostearate	30		
0.040	tocophersolan	60	PEG-20 monostearate	18		
0.042	tocophersolan	30	PEG-20 monostearate	60		
0.039	tocophersolan	48	PEG-20 monostearate	30		
0.040	tocophersolan	30	PEG-20 monostearate	48		
0.040	tocophersolan	48	PEG-20 monostearate	18		
0.039	tocophersolan	36	PEG-20 monostearate	30		
0.040	tocophersolan	60	PEG 300	102		
0.040	tocophersolan	60	PEG 300	61.2		
0.040	tocophersolan	48	PEG 300	61.2		
0.040	tocophersolan	90				
0.040	tocophersolan	78				
0.040	tocophersolan	66				
0.040	tocophersolan	54				
0.040	polysorbate 80	30	tocophersolan	60		
0.041	polysorbate 80	48	tocophersolan	30		
0.040	polysorbate 80	18	tocophersolan	60		
0.041	polysorbate 80	36	tocophersolan	30		
0.040	polysorbate 80	36	tocophersolan	18		
0.041	polysorbate 80	30	tocophersolan	30	PEG-20 monostearate	18
0.040	polysorbate 80	30	tocophersolan	18	PEG-20 monostearate	30
0.041	polysorbate 80	18	tocophersolan	30	PEG-20 monostearate	30

TABLE 2: Cont.

Abs	Excipient	Conc. mg/ml	Excipient	Conc. mg/ml	Excipient	Conc. mg/ml
0.043	polysorbate 80	18	tocophersolan	30	protamine sulfate	3.06
0.040	polysorbate 20	30	tocophersolan	60		
0.040	polysorbate 20	18	tocophersolan	60		
0.039	polysorbate 20	36	tocophersolan	30		
0.039	PEG-20 monooleate	30	tocophersolan	60		
0.039	PEG-20 monooleate	30	tocophersolan	48		
0.040	PEG-20 monooleate	18	tocophersolan	60		
0.039	PEG-20 monooleate	30	tocophersolan	30	PEG-20 monostearate	30
0.039	PEG-20 monolaurate	30	tocophersolan	60		
0.040	choline chloride	48	tocophersolan	30		
0.040	choline chloride	30	tocophersolan	48		
0.040	choline chloride	18	tocophersolan	60		
0.040	choline chloride	18	tocophersolan	48		
0.039	laureth-23	30	tocophersolan	60		
0.039	laureth-23	30	tocophersolan	48		
0.041	laureth-23	18	tocophersolan	48		
0.040	laureth-23	18	tocophersolan	30	PEG-20 monostearate	18
0.039	polysorbate 80	18	PEG-20 monooleate	18	tocophersolan	30
0.040	polysorbate 80	18	choline chloride	30	tocophersolan	30
0.040	polysorbate 80	30	PEG-20 monooleate	60		
0.042	PEG-20 monostearate	66				
0.040	PEG-20 monooleate	30	PEG-20 monostearate	60		
0.040	PEG-20 monooleate	90				
0.039	laureth-23	18	PEG-20 monooleate	18	tocophersolan	30
0.038	PEG-20 glyceryl monooleate	100	polysorbate 80	40		
0.039	PEG-20 glyceryl monooleate	100	polysorbate 80	60		
0.039	PEG-20 glyceryl monooleate	100	polysorbate 80	80		
0.040	PEG-20 glyceryl monooleate	100	PEG-20 monooleate	60		
0.039	PEG-20 glyceryl monooleate	100	PEG-20 monooleate	80		
0.039	PEG-20 glyceryl monooleate	100	PEG-20 monolaurate	60		
0.040	PEG-20 glyceryl monooleate	100	PEG-20 monolaurate	80		
0.039	PEG-20 glyceryl monooleate	100	PEG-20 monolaurate	40		
0.040	PEG-20 glyceryl monooleate	100	PEG-20 monolaurate	20		
0.038	PEG-20 glyceryl monooleate	100	polysorbate 20	40		
0.039	PEG-20 glyceryl monooleate	100	polysorbate 20	60		
0.039	PEG-20 glyceryl monooleate	100	polysorbate 20	80		
0.038	PEG-20 glyceryl monooleate	100	tocophersolan	20		
0.038	PEG-20 glyceryl monooleate	100	tocophersolan	40		
0.038	PEG-20 glyceryl monooleate	100	tocophersolan	60		
0.039	PEG-20 glyceryl monooleate	100	tocophersolan	80		
0.039	PEG-20 glyceryl monooleate	80	tocophersolan	100		
0.039	PEG-20 glyceryl monooleate	60	tocophersolan	100		
0.040	PEG-20 glyceryl monooleate	40	tocophersolan	100		
0.039	PEG-20 glyceryl monooleate	20	tocophersolan	100		
0.040	tocophersolan	100				
0.038	PEG-20 glyceryl monolaurate	100	tocophersolan	60		
0.039	PEG-20 glyceryl monolaurate	100	tocophersolan	80		
0.039	PEG-20 glyceryl monolaurate	80	tocophersolan	100		
0.039	PEG-20 glyceryl monolaurate	60	tocophersolan	100		
0.040	PEG-20 glyceryl monolaurate	40	tocophersolan	100		
0.039	PEG-20 glyceryl monolaurate	20	tocophersolan	100		

Excipients classes that were present in at least 10 formulations listed in Table 2 above and gave stable paclitaxel solutions were selected as paclitaxel solubilizers of the invention (*i.e.*, PEG-vitamin Es, quaternary ammonium salts, PEG-monoacid fatty esters, PEG-glyceryl fatty esters, polysorbates, and PEG-fatty alcohols) first, because they were present in formulations that dissolved paclitaxel to a concentration of about 1.2 mg/ml and, second, by appearing at high frequency, indicated that they interacted with paclitaxel or the other components to facilitate dissolution of paclitaxel in an aqueous medium.

TABLE 3: Paclitaxel Solubilizers of the Invention

5

Excipient Class	Hits
PEG-Vitamin Es	59
Quaternary ammonium salts	14
PEG-monoacid fatty esters	44
PEG-glyceryl fatty esters	20
Polysorbates	38
PEG-fatty alcohols	27

10

Preferred paclitaxel solubilizers are PEG-Vitamin Es, such as tocophersolan; polysorbates, such as polysorbates 80 and 20; PEG-monoacid fatty esters, such as PEG-20 monooleate, PEG-20 monolaurate, and PEG-20 monostearate; PEG-glyceryl fatty esters, such as PEG-20 glyceryl monooleate, PEG-20 glyceryl monostearate, and PEG-20 glyceryl monolaurate; and PEG-fatty alcohols, such as octoxynols (*e.g.*, octoxynol-9), oleths, and laureths (*e.g.*, laureth-23).

15

The data in Table 2 was further analyzed with respect to the paclitaxel solubilizers of the invention (Table 3) to determine combinations of excipients useful for solubilizing and administering paclitaxel, derivatives, and salts thereof.

Table 4 below lists excipients along with the excipient class, that appeared as a component with a PEG-vitamin E in the selected formulations of Table 2 at a high frequency (*i.e.*, at least 4 times).

20

TABLE 4: Excipient Classes That in Combination with a PEG-vitamin E provide Formulations with a High Propensity to Dissolve Paclitaxel

25

Class	Excipient	Excipient hits	Class hits
PEG-monoacid fatty esters	PEG-20 monooleate	6	19
	PEG-20 monostearate	12	
	PEG-20 monolaurate	1	
PEG-glyceryl fatty esters	PEG-20 glyceryl monooleate	8	14
	PEG-20 glyceryl monolaurate	6	
Polysorbates	polysorbate 20	3	14
	polysorbate 80	11	
PEG-fatty alcohols	octoxynol-9	0	5
	laureth-23	5	

30

The data in Table 4 above demonstrates that PEG-vitamin Es in combination with excipient classes PEG-monoacid fatty esters, PEG-glyceryl fatty esters, polysorbates, or PEG-fatty alcohols interact to provide formulations useful for solubilizing paclitaxel.

35

Table 5 below lists excipients, along with the excipient class, that appeared as a component with a quaternary ammonium salt in the selected formulations of Table 2 at a high frequency.

**TABLE 5: Excipient Classes That in Combination with a Quaternary Ammonium Salt
Provide Formulations with a High Propensity to Dissolve Paclitaxel**

Class	Excipient	Excipient hits	Class hits
Polysorbates	polysorbate 20	1	4
	polysorbate 80	3	
PEG-fatty alcohols	octoxynol-9	8	8
	laureth-23	0	

The data in Table 5 above demonstrates that quaternary ammonium salts in combination with excipient classes polysorbates or PEG-fatty alcohols interact to provide formulations useful for solubilizing paclitaxel.

Table 6 below lists excipients, along with the excipient class, that appeared as a component with a PEG-monoacid fatty esters in the selected formulations of Table 2 at a high frequency.

**TABLE 6: Excipient Classes That in Combination with a PEG-monoacid Fatty Ester
Provide Formulations with a High Propensity to Dissolve Paclitaxel**

Class	Excipient	Excipient hits	Class hits
PEG-Vitamin Es	tocophersolan	18	18
Polysorbates	polysorbate 20	2	11
	polysorbate 80	9	
PEG-fatty alcohols	octoxynol-9	4	6
	laureth-23	2	
PEG-glyceryl fatty esters	PEG-20 glyceryl monooleate	6	6
	PEG-20 glyceryl monolaurate	0	

The data in Table 6 above demonstrates that PEG-monoacid fatty esters in combination with excipient classes PEG-vitamin Es, polysorbates, PEG-fatty alcohols, or PEG-glyceryl fatty esters interact to provide formulations useful for solubilizing paclitaxel.

Table 7 below lists excipients, along with the excipient class, that appeared as a component with a polysorbate in the selected formulations of Table 2 at a high frequency.

TABLE 7: Excipient Classes That in Combination with a PEG-Glycerol fatty esters Provide Formulations with a High Propensity to Dissolve Paclitaxel

Class	Excipient	Excipient hits	Class hits
PEG-Vitamin Es	tocophersolan	14	14
Polysorbates	polysorbate 20	3	6
	polysorbate 80	3	
PEG-monoacid fatty esters	PEG-20 monooleate	2	6
	PEG-20 monostearate	0	
	PEG-20 monolaurate	4	

The data in Table 7 above demonstrates that PEG-glycerol fatty esters in combination with excipient classes PEG-vitamin Es, polysorbates, or PEG-monoacid fatty esters interact to provide formulations useful for solubilizing paclitaxel.

Table 8 below lists excipients, along with the excipient class, that appeared as a component with a polysorbate in the selected formulations of Table 2 at a high frequency.

TABLE 8: Excipient Classes That in Combination with a Polysorbate Provide Formulations with a High Propensity to Dissolve Paclitaxel

Class	Excipient	Excipient hits	Class hits
PEG-Vitamin Es	tocophersolan	14	14
Quaternary ammonium salts	benzalkonium chloride	1	4
	benzethonium chloride	1	
	cetrimide	2	
PEG-monoacid fatty esters	PEG-20 monooleate	5	13
	PEG-20 monostearate	3	
	PEG-20 monolaurate	5	
PEG-glycerol fatty esters	PEG-20 glycerol monooleate	6	6
	PEG-20 glycerol monolaurate	0	
PEG-fatty alcohols	octoxynol-9	8	8
	laureth-23	0	

The data in Table 8 above demonstrates that polysorbates in combination with excipient classes PEG-vitamin Es, quaternary ammonium salts, PEG-monoacid fatty esters, PEG-glycerol fatty esters, or PEG-fatty alcohols, interact to provide formulations useful for solubilizing paclitaxel.

Table 9 below lists excipients, along with the excipient class, that appeared as a component with a PEG-fatty alcohol in the formulations of Table 2 at a high frequency.

TABLE 9: Excipient Classes That in Combination with a PEG-fatty Alcohol Provide Formulations with a High Propensity to Dissolve Paclitaxel

5

10

Class	Excipient	Excipient hits	Class hits
PEG-Vitamin Es	tocophersolan	5	5
Quaternary ammonium salts	benzalkonium chloride	3	8
	benzethonium chloride	3	
	cetrimide	2	
PEG-monoacid fatty esters	PEG-20 monooleate	4	12
	PEG-20 monostearate	1	
	PEG-20 monolaurate	7	
Polysorbates	polysorbate 20	3	8
	polysorbate 80	5	

The data in Table 9 above demonstrates that PEG-fatty alcohols in combination with excipient classes PEG-vitamin Es, quaternary ammonium salts, PEG-monoacid fatty esters, or polysorbates interact to provide formulations useful for solubilizing paclitaxel.

20

25

30

35

Example 2: Preparation of Liquid-concentrate Paclitaxel Formulations with Enhanced Aqueous Solubility

The following liquid-concentrate paclitaxel formulations were prepared by mixing paclitaxel, the paclitaxel solubilizers, and additional components using standard pharmaceutical-formulation procedures.

Formulation A

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-400	294 mg	29.2%
Polysorbate 80	485 mg	48.1%
Ethanol	221 mg	21.9%
Citric acid	2 mg	0.2%

Formulation B

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	500 mg	49.6%
Ethanol	300 mg	29.8%
Polysorbate 80	200 mg	19.8%
Citric acid	2 mg	0.2%

Formulation C

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	500 mg	49.6%
Ethanol	400 mg	39.7%
Vitamin E TPGS	100 mg	9.9%
Citric acid	2 mg	0.2%

Formulation D

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	500 mg	49.6
Ethanol	300 mg	29.8
PEG-20 monolaurate	200 mg	19.8
Citric acid	2 mg	0.2%

Formulation E

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	500 mg	49.6%
Ethanol	300 mg	29.8%
Polysorbate 20	200 mg	19.8%
Citric acid	2 mg	0.2%

Formulation F

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	500 mg	49.6%
Ethanol	200 mg	19.8%
PEG-20 monooleate	300 mg	29.8%
Citric acid	2 mg	0.2%

Formulation G

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Ethanol	500 mg	49.6%
Vitamin E TPGS	500 mg	49.6%
Citric acid	2 mg	0.2%

Formulation H

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Ethanol	400 mg	39.7%
Vitamin E TPGS	500 mg	49.6%
PEG-400	100 mg	9.9%
Citric acid	2 mg	0.2%

Formulation I

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Ethanol	400 mg	39.7%
Vitamin E TPGS	500 mg	49.6%
PEG-20 monooleate	100 mg	9.9%
Citric acid	2 mg	0.2%

Formulation J

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Ethanol	400 mg	39.7%
Vitamin E TPGS	500 mg	49.6%
Polysorbate 80	100 mg	9.9%
Citric acid	2 mg	0.2%

Formulation K

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Ethanol	400 mg	39.7%
Vitamin E TPGS	500 mg	49.6%
Polysorbate 20	100 mg	9.9%
Citric acid	2 mg	0.2%

Formulation L

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Ethanol	400 mg	39.7%
Vitamin E TPGS	500 mg	49.6%
PEG-20 monolaurate	100 mg	9.9%
Citric acid	2 mg	0.2%

Formulation M

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Ethanol	559 mg	55.4%
Polysorbate 80	294 mg	29.2%
PEG-20 monooleate	147 mg	14.6%
Citric acid	2 mg	0.2%

Formulation N

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-400	500 mg	49.6%
Polysorbate 80	300 mg	29.8%
Ethanol	200 mg	19.9%
Citric acid	2 mg	0.2%

Formulation O

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-400	500 mg	49.6%
Ethanol	150 mg	14.9%
Polysorbate 80	350 mg	34.7%
Citric acid	2 mg	0.2%

Formulation P

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	400 mg	39.7%
Ethanol	400 mg	39.7%
Polysorbate 80	200 mg	19.9%
Citric acid	2 mg	0.2%

Formulation Q

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	300 mg	29.8%
Ethanol	500 mg	49.6%
Polysorbate 80	200 mg	19.9%
Citric acid	2 mg	0.2%

Formulation R

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	500 mg	49.6%
Ethanol	400 mg	39.7%
Polysorbate 80	100 mg	9.9%
Citric acid	2 mg	0.2%

Formulation S

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	600 mg	59.5%
Ethanol	400 mg	39.7%
Citric acid	2 mg	0.2%

Formulation T

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	550 mg	54.5%
Ethanol	450 mg	44.6%
Citric acid	2 mg	0.2%

Formulation U

5

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
PEG-20 glyceryl monooleate	500 mg	49.6%
Ethanol	500 mg	49.6%
Citric acid	2 mg	0.2%

10

Formulation V

15

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Polyethylene Glycol 400	294 mg	29.2%
Polysorbate 80	529 mg	52.5%
Ethanol	176 mg	17.5%
Citric Acid	2 mg	0.2%

20

Formulation W

25

Component	Weight	% weight
Paclitaxel	6 mg	0.6%
Polyethylene Glycol 400	294 mg	29.2%
Polysorbate 80	485 mg	48.1%
Ethanol	221 mg	21.9%
Citric Acid	2 mg	0.2%

30

35

Example 3: Animal pK Study of Paclitaxel Formulations:

Two formulations of the present invention with the following compositions were prepared and used for PK studies in rats:

Sample V (Formulation V): 6 mg/mL paclitaxel dissolved in 29.4% Polyethylene Glycol 400, 52.9% Polysorbate 80, 17.6% Ethanol and 2.0 mg/mL citric acid.

Sample W (Formulation W): 6 mg/mL paclitaxel dissolved in 29.4% Polyethylene Glycol 400, 48.5% Polysorbate 80, 22.1% Ethanol and 2.0 mg/mL citric acid.

Both samples and the control (commercially available Taxol[®]) were diluted into sterile saline to a final concentration of 1 mg/mL before dosing. Six male Sprague-Dawley rats (7 weeks old, averaged 270 g each) from Charles River Japan were used per experimental group. Two single intravenous bolus doses (5 mg/kg and 10 mg/kg) were evaluated at an infusion rate of 1.5 mL/min. Plasma samples were collected at 0.083, 0.5, 1.0, 2.0, 3.0, 4.0, 8.0, and 24.0 hours post-dosing and stored at -20°C until assayed with HPLC.

The pK profiles for each of the samples and the control were prepared, and are illustrated in FIGURES 1-4.

The results indicate that Samples V and W have very similar PK profiles in rats. The two formulations have lower AUC compared to the control, presumably due to the well-established non-linear pharmacokinetic interactions between paclitaxel and CREMOPHOR[®].

All of the rats died in the control group (Taxol[®]) at the 10 mg/kg dose. In comparison, all of the animals dosed with formulations V and W tolerated them well, even at the high dose of 10mg/kg. These results suggest that Formulations V and W have improved safety in rats compared to TAXOL[®].

The foregoing has demonstrated the pertinent and important features of the present invention. One of skill in the art will appreciate that numerous modifications and embodiments may be devised. Therefore, it is intended that the appended claims cover all such modifications and embodiments.

What is claimed is:

1. A pharmaceutical formulation for administration to a mammal comprising:
 - (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
 - (b) one or more of a PEG-glyceryl fatty ester, a quaternary ammonium salt or a PEG-fatty alcohol.
2. The pharmaceutical formulation of claim 1, wherein the formulation is cremophor free.
3. The pharmaceutical formulation of claim 1, further comprising an additional active.
4. The pharmaceutical formulation of claim 3, wherein the additional active is cisplatin, carboplatin, tamoxifen, epirubicin, leuprolide, bicalutamide, goserelin implant, irinotecan, gemcitabine, or sargramostim or a pharmaceutically acceptable salt thereof.
5. The pharmaceutical formulation of claim 1, wherein the formulation is suitable for dissolution in an aqueous medium.
6. The pharmaceutical formulation of claim 1, wherein the formulation is in a form of a solid, semisolid, gel, suspension, or emulsion.
7. The pharmaceutical formulation of claim 1, wherein the formulation is in liquid form.
8. The pharmaceutical formulation of claim 1, wherein the formulation is in liquid-concentrate form.
9. The pharmaceutical formulation of claim 8, further comprising ethanol.
10. The pharmaceutical formulation of claim 6, wherein the solid is a lyophilized solid.
11. The pharmaceutical formulation of claim 1, further comprising an aqueous medium.

12. The pharmaceutical formulation of claim 11, wherein the formulation is suitable for parenteral administration.
13. The pharmaceutical formulation of claim 1, wherein the formulation is sterile.
- 5 14. The pharmaceutical formulation of claim 1, wherein the formulation is in single-unit-dosage form.
- 10 15. The pharmaceutical formulation of claim 1, wherein the formulation is in multi-unit-dosage form.
16. The pharmaceutical formulation of claim 1, further comprising additional excipients.
- 15 17. The pharmaceutical formulation of claim 1, wherein the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrимide; the PEG-glyceryl fatty ester is PEG-glyceryl monooleate or PEG-glyceryl monolaurate; and the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.
- 20 18. The pharmaceutical formulation of claim 17, wherein the octoxynol is octoxynol-9 and the laureth is laureth-23.
- 25 19. The pharmaceutical formulation of claim 1, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the PEG-fatty alcohol or the PEG-glyceryl fatty ester ranges from about 5 to about 200.
- 30 20. The pharmaceutical formulation of claim 1, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the quaternary ammonium salt ranges from about 0.01 to about 1.
- 35 21. The pharmaceutical formulation of claim 8, wherein a concentration of the PEG-fatty alcohol or the PEG-glyceryl fatty ester ranges from about 20 weight% to about 99 weight%.
22. The pharmaceutical formulation of claim 11, wherein a concentration of the

PEG-fatty alcohol or the PEG-glyceryl fatty ester ranges from about 3 mg/ml to about 120 mg/ml.

23. The pharmaceutical formulation of claim 11, wherein a concentration of the quaternary ammonium salt ranges from about 0.0048 mg/ml to about 0.35 mg/ml.

5 24. A pharmaceutical formulation for administration to a mammal comprising:
(a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
(b) two or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glyceryl fatty ester, a polysorbate, or a PEG-fatty alcohol.

10

25. The pharmaceutical formulation of claim 24, wherein the formulation is cremophor free.

15 26. The pharmaceutical formulation of claim 24, comprising the PEG-vitamin E and one or more of the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol.

27. The pharmaceutical formulation of claim 24, comprising the quaternary ammonium salt and one or both of the polysorbate or the PEG-fatty alcohol.

20

28. The pharmaceutical formulation of claim 24, comprising the PEG-monoacid fatty ester and one or more of the PEG-vitamin E, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol.

25

29. The pharmaceutical formulation of claim 24, comprising the PEG-glyceryl fatty ester and one or more of the PEG-vitamin E, the PEG-monoacid fatty ester, or the polysorbate.

30 30. The pharmaceutical formulation of claim 24, comprising the polysorbate and one or more of the quaternary ammonium salt, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, or the PEG-fatty alcohol.

31. The pharmaceutical formulation of claim 24, comprising the PEG-fatty alcohol and one or more of the PEG-vitamin E, the quaternary ammonium salt, the PEG-monoacid fatty ester, or the polysorbate.

35

32. The pharmaceutical formulation of claim 24, further comprising an additional active.

33. The pharmaceutical formulation of claim 32, wherein the additional active is cisplatin, carboplatin, tamoxifen, epirubicin, leuprolide, bicalutamide, goserelin implant,
5 irinotecan, gemcitabine, or sargramostim or a pharmaceutically acceptable salt thereof.

34. The pharmaceutical formulation of claim 24, wherein the formulation is suitable for dissolution in an aqueous medium.

10 35. The pharmaceutical formulation of claim 24, wherein the formulation is in a form of a solid, semisolid, gel, suspension, or emulsion.

36. The pharmaceutical formulation of claim 24, wherein the formulation is in liquid form.

15 37. The pharmaceutical formulation of claim 24, wherein the formulation is in liquid-concentrate form.

38. The pharmaceutical formulation of claim 37, further comprising ethanol.

20 39. The pharmaceutical formulation of claim 35, wherein the solid is a lyophilized solid.

40. The pharmaceutical formulation of claim 24, further comprising an aqueous
25 medium.

41. The pharmaceutical formulation of claim 40, wherein the formulation is suitable for parenteral administration.

30 42. The pharmaceutical formulation of claim 24, wherein the formulation is sterile.

43. The pharmaceutical formulation of claim 24, wherein the formulation is in single-unit-dosage form.

35 44. The pharmaceutical formulation of claim 24, wherein the formulation is in multi-unit-dosage form.

45. The pharmaceutical formulation of claim 24, further comprising additional excipients.

46. The pharmaceutical formulation of claim 24, wherein:
the PEG-vitamin E is tocophersolan;
the quaternary ammonium salt is benzalkonium chloride, benzethonium
chloride, or cetrimide;
the PEG-monoacid fatty ester is PEG-20 monooleate, PEG-20 monolaurate,
or PEG-20 monostearate;
the PEG-glyceryl fatty ester is PEG-20 glyceryl monooleate, PEG-20
glyceryl monostearate, or PEG-20 glyceryl monolaurate;
the polysorbate is polysorbate 20 or polysorbate 80; and
the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.

47. The pharmaceutical formulation of claim 46, wherein the octoxynol is octoxynol-9 and the laureth is laureth-23.

48. The pharmaceutical formulation of claim 24, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the PEG-vitamin E, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol ranges from about 5 to about 200.

49. The pharmaceutical formulation of claim 24, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the quaternary ammonium salt ranges from about 0.01 to about 1.

50. The pharmaceutical formulation of claim 40, wherein a concentration of the PEG-vitamin E, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol ranges from about 3 mg/ml to about 120 mg/ml.

51. The pharmaceutical formulation of claim 40, wherein a concentration of the quaternary ammonium salt ranges from about 0.0048 mg/ml to about 0.35 mg/ml.

52. The pharmaceutical formulation of claim 37, wherein a total concentration of the two or more of the PEG-vitamin E, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol ranges from about 20 weight% to about 99 weight%.

53. A pharmaceutical formulation for administration to a mammal comprising:
- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
 - (b) PEG-400 and one or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glyceryl fatty ester, a polysorbate, or a PEG-fatty alcohol.
- 5 54. The pharmaceutical formulation of claim 53, wherein the formulation is cremophor free.
- 10 55. The pharmaceutical formulation of claim 53, further comprising an additional active.
- 15 56. The pharmaceutical formulation of claim 55, wherein the additional active is cisplatin, carboplatin, tamoxifen, epirubicin, leuprolide, bicalutamide, goserelin implant, irinotecan, gemcitabine, or sargramostim or a pharmaceutically acceptable salt thereof.
57. The pharmaceutical formulation of claim 53, wherein the formulation is suitable for dissolution in an aqueous medium.
- 20 58. The pharmaceutical formulation of claim 53, wherein the formulation is in a form of a solid, semisolid, gel, suspension, or emulsion.
59. The pharmaceutical formulation of claim 53, wherein the formulation is in liquid form.
- 25 60. The pharmaceutical formulation of claim 53, wherein the formulation is in liquid-concentrate form.
61. The pharmaceutical formulation of claim 60, further comprising ethanol.
- 30 62. The pharmaceutical formulation of claim 58, wherein the solid is a lyophilized solid.
- 35 63. The pharmaceutical formulation of claim 53, further comprising an aqueous medium.

64. The pharmaceutical formulation of claim 63, wherein the formulation is suitable for parenteral administration.

65. The pharmaceutical formulation of claim 53, wherein the formulation is sterile.

5 66. The pharmaceutical formulation of claim 53, wherein the formulation is in single-unit-dosage form.

67. The pharmaceutical formulation of claim 53, wherein the formulation is in multi-unit-dosage form.
10

68. The pharmaceutical formulation of claim 53, further comprising additional excipients.

15 69. The pharmaceutical formulation of claim 53, wherein:
the PEG-vitamin E is tocophersolan;
the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrимide;
the PEG-monoacid fatty ester is PEG-20 monooleate, PEG-20 monolaurate, or PEG-20 monostearate;
20 the PEG-glyceryl fatty ester is PEG-20 glyceryl monooleate, PEG-20 glyceryl monostearate, or PEG-20 glyceryl monolaurate;
the polysorbate is polysorbate 20 or polysorbate 80; and
the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.

25 70. The pharmaceutical formulation of claim 69, wherein the octoxynol is octoxynol-9 and the laureth is laureth-23.

30 71. A method of treating cancer in a mammal comprising administering to said mammal a therapeutically effective amount of pharmaceutical formulation comprising:
(a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
(b) one or more of a PEG-glyceryl fatty ester, quaternary ammonium salt, or a PEG-fatty alcohol.

35 72. The method of claim 71, wherein the pharmaceutical formulation is cremophor free.

73. The method of claim 71, wherein the pharmaceutical formulation comprises an additional active.

74. The method of claim 73, wherein the additional active is cisplatin, carboplatin, tamoxifen, epirubicin, leuprolide, bicalutamide, goserelin implant, irinotecan, gemcitabine, or sargramostim or a pharmaceutically acceptable salt thereof.

75. The method of claim 71, wherein the formulation is suitable for dissolution in an aqueous medium.

76. The method of claim 71, wherein formulation is in a form of a solid, semisolid, gel, suspension, or emulsion.

77. The method of claim 71, wherein the formulation is in liquid form.

78. The method of claim 71, wherein the formulation is in liquid-concentrate form.

79. The method of claim 78, wherein the formulation comprises ethanol.

80. The method of claim 76, wherein the solid is a lyophilized solid.

81. The method of claim 71, wherein the formulation comprises an aqueous medium.

82. The method of claim 81, wherein the formulation is administered parenterally.

83. The method of claim 71, wherein the formulation is in single-unit-dosage form.

84. The method of claim 71, wherein the formulation is in multi-unit-dosage form.

85. The method of claim 71, wherein the mammal is a human.

86. The method of claim 71, wherein the formulation is in sterile form.

87. The method of claim 71, wherein the formulation comprises additional excipients.

88. The method of claim 71, wherein the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrimide; the PEG-glyceryl fatty ester is PEG-glyceryl monooleate or PEG-glyceryl monolaurate; and the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.

89. The method of claim 88, wherein the octoxynol is octoxynol-9 and the laureth is laureth-23.

90. The method of claim 71, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the PEG-fatty alcohol or the PEG-glyceryl fatty ester ranges from about 5 to about 200.

91. The method of claim 71, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the quaternary ammonium salt ranges from about 0.01 to about 1.

92. The method of claim 81, wherein a concentration of the PEG-fatty alcohol and the PEG-glyceryl fatty ester ranges from about 3 mg/ml to about 120 mg/ml.

93. The method of claim 81, wherein a concentration of the quaternary ammonium salt ranges from about 0.0048 mg/ml to about 0.35 mg/ml.

94. The method of claim 78, wherein a concentration of the PEG-fatty alcohol or the PEG-glyceryl fatty ester ranges from about 20 weight% to about 99 weight%.

95. A method of treating cancer in a mammal comprising administering to said mammal a therapeutically effective amount of a pharmaceutical formulation comprising:

- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof; and
- (b) two or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a polysorbate, or a PEG-fatty alcohol.

96. The method of claim 95, wherein the pharmaceutical formulation is cremophor free.

97. The method of claim 95, the pharmaceutical formulation comprising the PEG-vitamin E and one or more of the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol.

5 98. The method of claim 95, the pharmaceutical formulation comprising the quaternary ammonium salt and one or both of the polysorbate or the PEG-fatty alcohol.

99. The method of claim 95, the pharmaceutical formulation comprising the PEG-monoacid fatty ester and one or more of the PEG-vitamin E, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol.

10 100. The method of claim 95, the pharmaceutical formulation comprising the PEG-glyceryl fatty ester and one or more of the PEG-vitamin E, the PEG-monoacid fatty ester, or the polysorbate.

15 101. The method of claim 95, the pharmaceutical formulation comprising the polysorbate and one or more of the quaternary ammonium salt, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, or the PEG-fatty alcohol.

20 102. The method of claim 95, the pharmaceutical formulation comprising the PEG-fatty alcohol and one or more of the PEG-vitamin E, the quaternary ammonium salt, the PEG-monoacid fatty ester, or the polysorbate.

103. The method of claim 95, wherein the formulation comprises an additional active.

25 104. The method of claim 103, wherein the additional active is cisplatin, carboplatin, tamoxifen, epirubicin, leuprolide, bicalutamide, goserelin implant, irinotecan, gemcitabine, or sargramostim or a pharmaceutically acceptable salt thereof.

30 105. The method of claim 95, wherein the formulation is suitable for dissolution in an aqueous medium.

106. The method of claim 95, wherein the formulation is in a form of a solid, semisolid, gel, suspension, or emulsion.

35 107. The method of claim 95, wherein the formulation is in liquid form.

108. The method of claim 95, wherein the formulation is in liquid-concentrate form.
109. The method of claim 108, wherein the formulation comprises ethanol.
- 5 110. The method of claim 106, wherein the solid is a lyophilized solid.
111. The method of claim 95, wherein the formulation comprises an aqueous medium.
- 10 112. The method of claim 111, wherein the formulation is administered parenterally.
113. The method of claim 95, wherein the formulation is in single-unit-dosage form.
- 15 114. The method of claim 95, wherein the formulation is in multi-unit-dosage form.
115. The method of claim 95, wherein the mammal is a human.
- 20 116. The method of claim 95, wherein the formulation is in sterile form.
117. The method of claim 95, wherein the formulation comprises additional excipients.
- 25 118. The method of claim 95, wherein:
the PEG-vitamin E is tocophersolan;
the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrimide;
the PEG-monoacid fatty ester is PEG-20 monooleate, PEG-20 monolaurate,
30 PEG-20 monostearate;
the PEG-glyceryl fatty ester is PEG-20 glyceryl monooleate, PEG-20 glyceryl monostearate, or PEG-20 glyceryl monolaurate
the polysorbate is polysorbate 20 or polysorbate 80; and
the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.
- 35

119. The method of claim 118, wherein the octoxynol is octoxynol-9 and the laureth is laureth-23.

120. The method of claim 95, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the PEG-vitamin E, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol ranges from about 5 to about 200.

121. The method of claim 95, wherein per unit weight of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof, a unit weight of the quaternary ammonium salt ranges from about 0.01 to about 1.

122. The method of claim 111, wherein a concentration of the PEG-vitamin E, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol ranges from about 3 mg/ml to about 120 mg/ml.

123. The method of claim 111, wherein a concentration of the quaternary ammonium salt ranges from about 0.0048 mg/ml to about 0.35 mg/ml.

124. The method of claim 108, wherein a total concentration of the two or more of the PEG-vitamin E, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol ranges from about 20 weight% to about 99 weight%.

125. A pharmaceutical formulation suitable for administration to a human consisting essentially of:

- (a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof;
- (b) one or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glyceryl fatty ester, a polysorbate, or a PEG-fatty alcohol; and
- (c) ethanol,

wherein said formulation is free of cremophor and is suitable for dissolution or reconstitution with an aqueous medium into a particulate-free solution suitable for parenteral administration.

126. The pharmaceutical formulation of claim 125, wherein:
the PEG-vitamin E is tocophersolan;
the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrimide;

the PEG-monoacid fatty ester is PEG-20 monooleate, PEG-20 monolaurate, PEG-20 monostearate;

the PEG-glyceryl fatty ester is PEG-20 glyceryl monooleate, PEG-20 glyceryl monostearate, or PEG-20 glyceryl monolaurate;

the polysorbate is polysorbate 20 or polysorbate 80; and

the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.

5

127. The pharmaceutical formulation of claim 126, wherein the octoxynol is octoxynol-9 and the laureth is laureth-23.

10

128. The pharmaceutical formulation of claim 125, wherein the formulation is sterile.

129. The pharmaceutical formulation of claim 125, wherein the formulation is in single-unit-dosage form.

15

130. The pharmaceutical formulation of claim 125, wherein the formulation is in multi-unit-dosage form.

20

131. A pharmaceutical formulation suitable for administration to a human consisting essentially of:

(a) paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof;

(b) two or more of a PEG-vitamin E, a quaternary ammonium salt, a PEG-monoacid fatty ester, a PEG-glyceryl fatty ester; a polysorbate, or a PEG-fatty alcohol; and

(c) ethanol,

25

wherein said formulation is free of cremophor and is suitable for dissolution or reconstitution with an aqueous medium into a particulate-free solution suitable for parenteral administration.

30

132. The pharmaceutical formulation of claim 131, wherein:

the PEG-vitamin E is tocophersolan;

the quaternary ammonium salt is benzalkonium chloride, benzethonium chloride, or cetrimide;

the PEG-monoacid fatty ester is PEG-20 monooleate, PEG-20 monolaurate, PEG-20 monostearate;

35

the PEG-glyceryl fatty ester is PEG-20 glyceryl monooleate, PEG-20 glyceryl monostearate, or PEG-20 glyceryl monolaurate;

the polysorbate is polysorbate 20 or polysorbate 80; and

the PEG-fatty alcohol is an octoxynol, an oleth, or a laureth.

133. The pharmaceutical formulation of claim 132, wherein the octoxynol is octoxynol-9 and the laureth is laureth-23.

5 134. The pharmaceutical formulation of claim 131, comprising the PEG-vitamin E and one or more of the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol.

10 135. The pharmaceutical formulation of claim 131, comprising the quaternary ammonium salt and one or both of the polysorbate or the PEG-fatty alcohol.

136. The pharmaceutical formulation of claim 131, comprising the PEG-monoacid fatty ester and one or more of the PEG-vitamin E, the PEG-glyceryl fatty ester, the polysorbate, or the PEG-fatty alcohol.

15 137. The pharmaceutical formulation of claim 131, comprising the polysorbate and one or more of the quaternary ammonium salt, the PEG-monoacid fatty ester, the PEG-glyceryl fatty ester, or the PEG-fatty alcohol.

20 138. The pharmaceutical formulation of claim 131, comprising the PEG-fatty alcohol and one or more of the PEG-vitamin E, the quaternary ammonium salt, the PEG-monoacid fatty ester, or the polysorbate.

25 139. The pharmaceutical formulation of claim 131, wherein the formulation is sterile.

140. The pharmaceutical formulation of claim 131, wherein the formulation is in single-unit-dosage form.

30 141. The pharmaceutical formulation of claim 131, wherein the formulation is in multi-unit-dosage form.

142. The pharmaceutical formulation of claim 131, further comprising additional excipients.

35

143. An array of samples, each sample comprising paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof and one or more formulation components, wherein each sample differs from any other sample with respect to at least one of:

- (i) the identity of the formulation component, or
- (ii) the ratio of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof to the formulation component.

144. The array of claim 143, of at least 24 samples.

145. The array of claim 143, of at least 48 samples.

146. The array of claim 143, of at least 96 samples.

147. A method to find a pharmaceutical formulation suitable to administer paclitaxel, a derivative, or a pharmaceutically acceptable salt thereof to a mammal, comprising:

- (a) preparing an array of samples, each sample comprising the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof and one or more formulation components, wherein each sample differs from any other sample with respect to at least one of:
 - (i) the identity of the formulation component,
 - (ii) the ratio of the paclitaxel, the derivative, or the pharmaceutically acceptable salt thereof to the formulation component; and
- (b) testing each sample for a property.

148. The method of claim 147, wherein the property is solubility.

149. The method of claim 147, wherein the array comprises at least 24 samples.

150. The method of claim 147, wherein the array comprises at least 48 samples.

151. The method of claim 147, wherein the array comprises at least 96 samples.

152. The method of claim 147, wherein at least 1000 samples are tested per day.

153. A particulate-free pharmaceutical formulation suitable for parenteral administration to a mammal comprising about 0.2 mg/ml to about 3 mg/ml of paclitaxel in a

non-cremophor aqueous-based solution, where per mg of the paclitaxel in the formulation the amount of water is about 4.5 ml to about 0.3 ml.

5

10

15

20

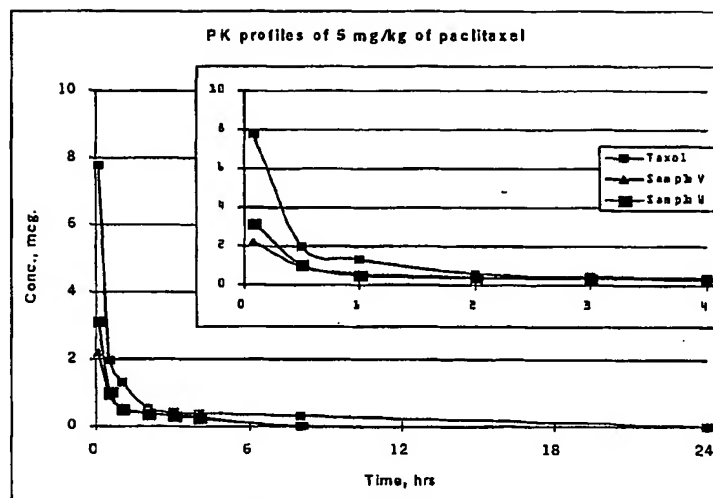
25

30

35

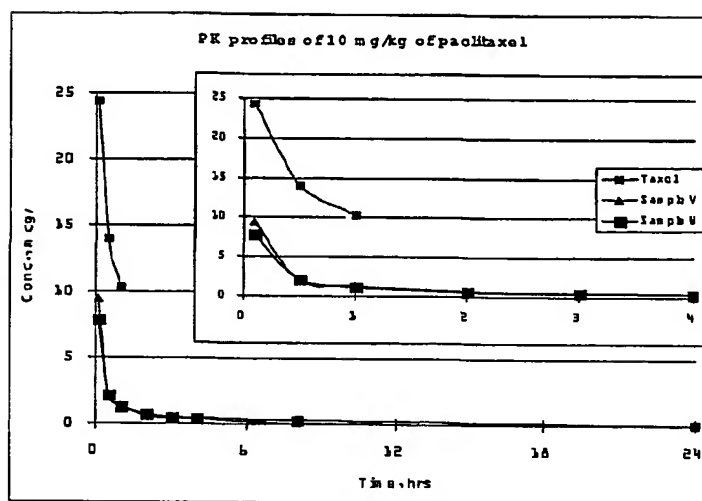
1/4

FIGURE 1



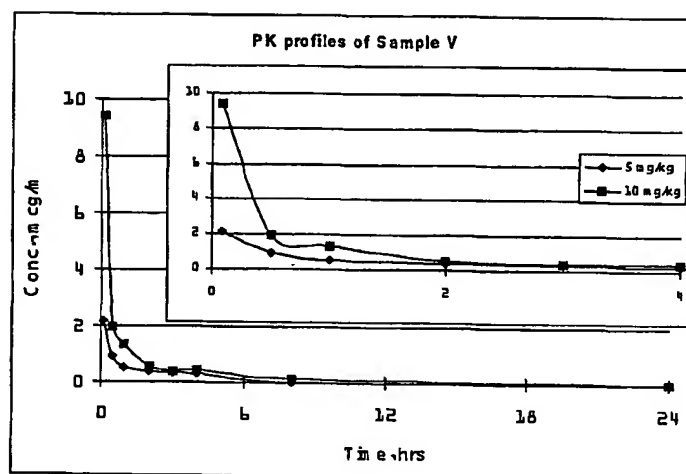
2/4

FIGURE 2



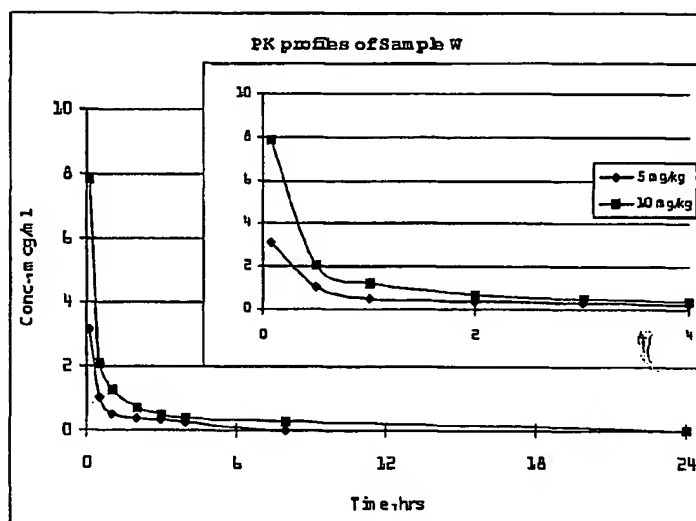
3/4

FIGURE 3



4/4

FIGURE 4



(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
6 June 2002 (06.06.2002)

PCT

(10) International Publication Number
WO 02/043765 A3

- (51) International Patent Classification⁷: A61K 47/00, 47/10, 47/14, 47/18, 31/335
- (21) International Application Number: PCT/US01/43306
- (22) International Filing Date:
20 November 2001 (20.11.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/253,640 28 November 2000 (28.11.2000) US
60/272,117 28 February 2001 (28.02.2001) US
- (81) Designated States (*national*): AF, AG, AI, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:
— with international search report
— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (71) Applicant (*for all designated States except US*): TRANSFORM PHARMACEUTICALS, INC. [US/US]; 610 Lincoln Street, Waltham, MA 02451 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (*for US only*): CHEN, Hongming [CN/US]; 8 Sawmill Road, Acton, MA 01720 (US).
- (88) Date of publication of the international search report:
21 November 2002
- (74) Agents: CORUZZI, Laura, A. et al.; Pennie & Edmonds LLP, 1155 Avenue of the Americas, New York, NY 10036 (US).
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

WO 02/043765 A3

(54) Title: PHARMACEUTICAL FORMULATIONS COMPRISING PACLITAXEL, DERIVATIVES, AND PHARMACEUTICALLY ACCEPTABLE SALTS THEREOF

(57) Abstract: The invention concerns paclitaxel solubilizers and formulations thereof with a high propensity to dissolve paclitaxel. The formulations of the invention reduce or obviate the need for the disadvantageous excipient Cremophor[®] EL. The formulations of the invention are useful for administering paclitaxel, its derivatives, or pharmaceutically acceptable salts or such derivatives to patients in need thereof. The formulations of the invention are suitable for parenteral, oral, local, or transdermal administration to mammals including humans, particularly for intravenous delivery.

INTERNATIONAL SEARCH REPORT

 Internat Application No
 PCT/US 01/43306

 A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 A61K47/00 A61K47/10 A61K47/14 A61K47/18 A61K31/335

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 Minimum documentation searched (classification system followed by classification symbols)
 IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data, MEDLINE, EMBASE, BIOSIS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 835 657 A (NAPRO BIOTHERAPEUTICS INC) 15 April 1998 (1998-04-15) abstract examples 1,2 claims 1-26	1-142, 153
X	WO 97 23208 A (GENELABS TECH INC ;ALMASSIAN BIJAN (US); CHOY WILLIAM (US)) 3 July 1997 (1997-07-03) abstract examples 1-5 claims 1-18	1-142, 153

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "Z" document member of the same patent family

Date of the actual completion of the international search

3 July 2002

Date of mailing of the international search report

12.7.02

Name and mailing address of the ISA

 European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Taylor, G

INTERNATIONAL SEARCH REPORT

Internat Application No
PCT/00 01/43306

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO 99 04787 A (DAVIS STANLEY STEWART ;DANBIOSYST UK (GB); HAN JIHONG (GB)) 4 February 1999 (1999-02-04) abstract page 2, line 19 -page 3, line 27 page 6, line 26 -page 7, line 4 examples 1-7 claims 1-23</p> <p>---</p>	1-142, 153
X	<p>US 6 046 230 A (CHUNG KYU-NUNG ET AL) 4 April 2000 (2000-04-04) abstract column 1, line 47 -column 3, line 16 examples 1-3 claims 1-4</p> <p>---</p>	1-142, 153
X	<p>WO 96 29070 A (UNIV TEXAS) 26 September 1996 (1996-09-26) abstract page 1, line 9 - line 14 page 4, line 30 -page 6, line 11 page 6, line 31 -page 7, line 7. claims 1-30</p> <p>---</p>	1-142, 153
X	<p>WO 99 45918 A (NAPRO BIOTHERAPEUTICS INC) 16 September 1999 (1999-09-16) abstract page 3, line 3 - line 32 examples 1-11 claims 1-26</p> <p>---</p>	1-142, 153
X	<p>WO 98 53810 A (HAN MAN WOO ;YOO JAE KUK (KR); HONG NAM DOO (KR)) 3 December 1998 (1998-12-03) abstract page 5, line 1 -page 7, line 23 claims 1-3</p> <p>-----</p>	1-142, 153

INTERNATIONAL SEARCH REPORT

International application No.
CT/US 01/43306

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-142, 153

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-142,153

Compositions comprising paclitaxel and their use in the treatment of cancer.

2. Claims: 143-152

Methods for screening paclitaxel derivatives and arrays of samples containing such.

INTERNATIONAL SEARCH REPORT

nation on patent family members

Internat - Application No

PCT/US 01/43306

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0835657	A	15-04-1998	AT 169216 T	15-08-1998
			AU 667142 B2	07-03-1996
			AU 5196793 A	09-06-1994
			AU 5553894 A	22-06-1994
			AU 5612694 A	22-06-1994
			WO 9412198 A1	09-06-1994
			CA 2149150 A1	09-06-1994
			CA 2308082 A1	09-06-1994
			CN 1096673 A	28-12-1994
			CN 1095266 A ,B	23-11-1994
			DE 69320206 D1	10-09-1998
			DE 69320206 T2	11-02-1999
			DK 674510 T3	10-05-1999
			EP 0674510 A1	04-10-1995
			EP 0835657 A1	15-04-1998
			ES 2119996 T3	16-10-1998
			GR 3027724 T3	30-11-1998
			JP 2880292 B2	05-04-1999
			JP 8503945 T	30-04-1996
			NZ 258044 A	21-12-1995
			WO 9412030 A1	09-06-1994
			WO 9412031 A1	09-06-1994
			US 6140359 A	31-10-2000
			US 6306894 B1	23-10-2001
			US 5733888 A	31-03-1998
			US 5977164 A	02-11-1999
			ZA 9308844 A	02-08-1994
WO 9723208	A	03-07-1997	AU 724842 B2	28-09-2000
			AU 1294997 A	17-07-1997
			CA 2240595 A1	03-07-1997
			CN 1209059 A	24-02-1999
			EP 0876145 A1	11-11-1998
			WO 9723208 A1	03-07-1997
WO 9904787	A	04-02-1999	AU 8456198 A	16-02-1999
			EP 0999833 A1	17-05-2000
			WO 9904787 A1	04-02-1999
			ZA 9806645 A	24-01-2000
US 6046230	A	04-04-2000	NONE	
WO 9629070	A	26-09-1996	US 5681846 A	28-10-1997
			AU 5313296 A	08-10-1996
			WO 9629070 A1	26-09-1996
WO 9945918	A	16-09-1999	AU 2902299 A	27-09-1999
			BR 9904856 A	18-07-2000
			CN 1255852 T	07-06-2000
			EP 0977562 A1	09-02-2000
			JP 2001524988 T	04-12-2001
			WO 9945918 A1	16-09-1999
			US 2001029264 A1	11-10-2001
			ZA 9901885 A	10-09-1999
WO 9853810	A	03-12-1998	WO 9853810 A1	03-12-1998
			EP 0998279 A1	10-05-2000
			US 6090844 A	18-07-2000